JK&B CAPITAL IV LP

Form 4 April 24, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

OMB APPROVAL

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SECURITIES

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * JK&B Capital IV, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

(Zin)

SPLUNK INC [SPLK] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

04/24/2012

Director X__ 10% Owner Other (specify Officer (give title below)

C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA, 180 N. STETSON AVENUE, SUITE 4500

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

CHICAGO, IL 60601

(City)

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Secu	rities	Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acord Disposed of (Instr. 3, 4 and Amount	(D)	d (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/24/2012		C	12,997,790	A	<u>(1)</u> <u>(2)</u>	12,997,790	I	See footnote (3)
Common Stock	04/24/2012		C	1,130,242	A	<u>(1)</u> <u>(2)</u>	1,130,242	I	See footnote (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ctionDerivative Securities Acquired 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Series B Preferred Stock	<u>(1)</u>	04/24/2012		C		11,208,120	<u>(1)</u>	<u>(1)</u>	Common Stock	11,208,
Series B Preferred Stock	(1)	04/24/2012		C		974,620	<u>(1)</u>	<u>(1)</u>	Common Stock	974,62
Series C Preferred Stock	(2)	04/24/2012		C		1,789,670	(2)	(2)	Common Stock	1,789,6
Series C Preferred Stock	<u>(2)</u>	04/24/2012		С		155,622	(2)	(2)	Common Stock	155,62

Reporting Owners

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
JK&B Capital IV, L.L.C. C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA 180 N. STETSON AVENUE, SUITE 4500 CHICAGO, IL 60601		X				
JK&B Management IV, L.P. C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA 180 N. STETSON AVENUE, SUITE 4500 CHICAGO, IL 60601		X				
JK&B CAPITAL IV LP C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA 180 N. STETSON AVENUE, SUITE 4500 CHICAGO, IL 60601		X				
JK&B CAPITAL IV QIP LP C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA 180 N. STETSON AVENUE, SUITE 4500		X				

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CHICAGO, IL 60601

KRONFELD DAVID C/O JK&B CAPITAL, TWO PRUDENTIAL PLAZA 180 N. STETSON AVENUE, SUITE 4500 CHICAGO, IL 60601

X

Signatures

/s/ JK&B Capital IV, L.L.C., by Nancy O'Leary, by power of attorney for David Kronfeld, its Managing Member 04/24/2012 **Signature of Reporting Person Date /s/ JK&B Management IV, L.P., by JK&B Capital IV, L.L.C., its General Partner, by Nancy O'Leary, by power of attorney for David Kronfeld, its Managing Member 04/24/2012 **Signature of Reporting Person Date /s/ JK&B Capital IV, L.P., by JK&B Management IV, L.P., its General Partner, by JK&B Capital IV, L.L.C., its General Partner, by Nancy O'Leary, by power of attorney for David 04/24/2012 Kronfeld, its Managing Member **Signature of Reporting Person Date /s/ JK&B Capital IV QIP, L.P., by JK&B Management IV, L.P., its General Partner, by JK&B Capital IV, L.L.C., its General Partner, by Nancy O'Leary, by power of attorney for 04/24/2012 David Kronfeld, its Managing Member **Signature of Reporting Person Date /s/ Nancy O'Leary, by power of attorney for David Kronfeld 04/24/2012 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series B Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.
- (2) The Series C Preferred Stock automatically converted into Common Stock on a one for one basis immediately prior to the completion of the Issuer's initial public offering of common stock and had no expiration date.
 - Shares are held directly by JK&B Capital IV, L.P. ("JK&B"). JK&B Management IV, L.P. ("JK&B Management") is the general partner of JK&B. David Kronfeld ("Kronfeld") is the managing member of JK&B Capital IV, L.L.C. ("JK&B Capital"), the general partner of
- (3) JK&B Management. Each of JK&B Management, JK&B Capital and Kronfeld may be deemed to have sole voting and dispositive power over the shares which are held directly by JK&B, but each disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein.
- Shares are held directly by JK&B Capital IV QIP, L.P. ("JK&B QIP"). JK&B Management is the general partner of JK&B QIP. Kronfeld is the managing member of JK&B Capital, the general partner of JK&B Management. Each of JK&B Management, JK&B Capital and Kronfeld may be deemed to have sole voting and dispositive power over the shares which are held directly by JK&B QIP, but each disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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