XTENT INC Form 3 January 31, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement XTENT INC [XTNT] A Casciaro Gregory D (Month/Day/Year) 01/31/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O XTENT, INC., 125 (Check all applicable) **CONSTITUTION DRIVE** (Street) 6. Individual or Joint/Group \_X\_ Director \_X\_ 10% Owner \_X\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting President, CEO Person MENLO PARK, Â CAÂ 94025 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 641,500 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security 3. Title and Amount of 6. Nature of Indirect 2. Date Exercisable and Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	02/01/2015	Common Stock	134,000 (4)	\$ 0.4	D	Â
Employee Stock Option (Right to Buy)	(2)	02/01/2016	Common Stock	104,000	\$ 1.5	D	Â
Employee Stock Option (Right to Buy)	(3)	06/05/2016	Common Stock	125,000	\$ 5.2	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer of the same of the sa	Director	10% Owner	Officer	Other		
Casciaro Gregory D C/O XTENT, INC. 125 CONSTITUTION DRIVE MENLO PARK Â CAÂ 94025	ÂX	ÂX	Â President, CEO	Â		

### **Signatures**

/s/ Gregory D.
Casciaro

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares subject to the option may be exercised immediately but vest as to 1/48 per month following the vesting commencement date of 02/01/2005.
- All shares subject to the option may be exercised immediately but vest as to 1/48 per month following the vesting commencement date of 02/01/2006
- (3) All shares subject to the option may be exercised immediately but vest as to 1/48 per month following the vesting commencement date of 04/27/2006.
- (4) Reflects the number of outstanding shares subject to the option after the exercise of 75,000 shares by Mr. Casciaro.

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#### **Remarks:**

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement o Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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