Edgar Filing: Leach Jacob Steven - Form 4

Leach Jacob Form 4											
May 10, 20	ΠΛ		~ ~ ~ ~ ~ ~						OMB AF	PPROVAL	
	• • UNI	TED STATE					ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section Form 4 Form 5 obligation may con See Inst	DF CHAN Section 1 Public U	Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						January 31, 2005 Iverage rs per 0.5			
1(b).	D										
(Print or Type	Responses)										
Leach Jacob Steven Sym								5. Relationship of Reporting Person(s) to Issuer			
				of Earliest 7	-	-		(Check all applicable)			
6340 SEQU		(Month/Day/Year) 05/08/2018				Director 10% Owner X Officer (give title Other (specify below) below) SVP, Research & Development					
SAN DIFG	(Street)	1		endment, I onth/Day/Ye	-	al		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Pe	rson	
(City)	(State)	(Zip)	T - 1	L T Nor	Derived	G		Person			
1.Title of Security (Instr. 3)		n Date 2A. Dee Year) Executio any		3. Transacti Code (Instr. 8)	4. Securi oror Dispo (Instr. 3,	ties Ad sed of 4 and (A) or	cquired (A) (D) 5)	ired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	05/08/2018	3		Code V M	Amount 1,923	(D) A	Price \$ 3.19	100,801	D		
Stock Common Stock	05/08/2018			S	1,923	D	\$ 84.9536	98,878 <u>(1)</u>	D		
Common Stock	05/09/2018	3		М	8,542	А	\$ 9.78	107,420	D		
Common Stock	05/09/2018	3		S	8,542	D	\$ 84.7716 (2)	98,878 <u>(1)</u>	D		
Common Stock	05/09/2018	3		М	203	А	\$ 7.27	99,081	D		

Common Stock	05/09/2018	S	203	D	\$ 84.7716 (2)	98,878 <u>(1)</u>	D	
Common Stock	05/08/2018	S	2,500	D	\$ 85.1495 <u>(3)</u>	47,600	Ι	by Spouse
Common Stock						12,000	Ι	Family Holdings (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option (right to buy)	\$ 3.19	05/08/2018		М	1,923	12/11/2009	12/11/2018	Common Stock	1,9
Non-Qualified Stock Option (right to buy)	\$ 7.27	05/09/2018		М	203	11/20/2010	11/20/2019	Common Stock	20
Non-Qualified Stock Option (right to buy)	\$ 9.78	05/09/2018		М	8,542	02/18/2011	02/18/2020	Common Stock	8,5

Reporting Owners

Reporting Owner Name / Address				
I B	Director	10% Owner	Officer	Other
			SVP, Research & Development	

Leach Jacob Steven 6340 SEQUENCE DRIVE SAN DIEGO, CA 92121

Signatures

By: Kevin Sun For: Jacob S. Leach

**Signature of Reporting Person

05/10/2018

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Included in this number are 56,182 unvested restricted stock units, 30,093 of which were granted on March 8, 2018 and shall vest through
(1) March 8, 2021, 15,422 of which were granted on March 8, 2017 and shall vest through March 8, 2020 and 10,667 of which were granted on March 8, 2016 shall vest through March 8, 2019.

This transaction was executed in multiple trades at prices ranging from \$84.77 to \$84.81. The price above reflects the weighted average(2) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

This transaction was executed in multiple trades at prices ranging from \$85.14 to \$85.18. The price above reflects the weighted average(3) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

- (4) Shares are held by the Kyndra S. Leach Trust UAD 12/12/2012, with respect to which the reporting person's spouse is a trustee.
- (5) Shares are held by the Gregg Family Grandchildren's Trust UAD 12/30/2010, with respect to which the reporting person's spouse is a trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.