US CONCRETE INC Form 4/A October 03, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* DAVIS JEFF L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

US CONCRETE INC [USCR]

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

C/O US CONCRETE, INC., 331 N.

07/01/2013

below)

(Check all applicable)

MAIN ST.

(Street)

VP/GM- Central Concrete Sup Co 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

07/03/2013

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**EULESS, TX 76039** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8. Pr **Underlying Securities** (Instr. 3 and 4)

Deri

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#### Edgar Filing: US CONCRETE INC - Form 4/A

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	(A Di (D (In	equired ) or sposed of ) astr. 3, 4, d 5)					(Inst
				Code V	V (A	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Restricted Stock Units (1)	(2)	07/01/2013		M		469	(3)	(3)	Common stock	469	\$
Restricted stock units (1)	<u>(4)</u>	07/01/2013		M		1,341	(5)	<u>(5)</u>	Common stock	1,341	\$

## **Reporting Owners**

Reporting Owner Name / Address	Relationiships					
	Director	10% Owner	Officer	Other		

DAVIS JEFF L C/O US CONCRETE, INC. 331 N. MAIN ST. EULESS, TX 76039

VP/GM- Central Concrete Sup Co

### **Signatures**

/s/ Lisa Sutter, as Attorney-in-Fact for Jeff L. Davis

10/03/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amendment is being filed to correct an administrative error on the original Form 4, where there was a conversion of a derivative security to common stock and the derivative security was reported as acquired rather than disposed of. The footnotes associated with the

- (1) lines that are being corrected have been renumbered from the numbers used in the original Form 4 in order to consecutively disclose narrative information on this amendment, although the substance of the information remains the same and the appropriate disposition of the derivative security remains the same.
- Each IRSU was granted under the Company's Management Equity Incentive Plan. Each IRSU represents the right to receive 0.35020 (2) share of common stock of the Company upon satisfaction of the performance goal. The number 469 reported here reflects the result of multiplying the awardee's vested IRSUs by .3502.
- The underlying RSUs and the IRSUs time vest as to one-twelfth (1/12) of the shares subject thereto on each of the first twelve (12) (3) quarterly anniversaries of October 1, 2010. The performance goal for the IRSUs must have been satisfied by August 31, 2015 or the IRSUs would have automatically forfeited.
- (4) Each restricted stock unit represents the right to receive one common share of common stock.

**(5)** 

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The restricted stock units vest as to one-twelfth (1/12) of the shares subject thereto on each of the first twelve (12) quarterly anniversaries of October 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.