

GAMCO INVESTORS, INC. ET AL
Form 10-Q
May 08, 2009

SECURITIES & EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 10-Q

(Mark One)

QUARTERLY REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES
EXCHANGE ACT OF 1934

For the quarterly period ended March 31, 2009
or

TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES
EXCHANGE ACT OF 1934

For the transition period from ___ to ___

Commission File No. 1-106

GAMCO INVESTORS, INC.
(Exact name of Registrant as specified in its charter)

New York
(State of other jurisdiction of
incorporation or organization)

13-4007862
(I.R.S. Employer
Identification No.)

One Corporate Center, Rye, NY
(Address of principle executive offices)

10580-1422
(Zip Code)

(914) 921-5100
Registrant's telephone number, including area code

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days.

Yes No

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of "large accelerated filer", "accelerated filer", and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer Accelerated filer

Non-accelerated filer Smaller reporting
company

Indicate by check mark whether the registrant is a shell company (as defined in Exchange Act Rule 12b-2).
Yes No

Indicate the number of shares outstanding of each of the Registrant's classes of Common Stock, as of the latest practical date.

| Class | Outstanding at April 30, 2009 |
|---|-------------------------------|
| Class A Common Stock, .001 par value | 7,399,483 |
| Class B Common Stock, .001 par value | 20,350,931 |

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GAMCO INVESTORS, INC. AND SUBSIDIARIES

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- Three months ended March 31, 2009 and 2008

Condensed Consolidated Statements of Financial Condition:
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- December 31, 2008
- March 31, 2008

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- Three months ended March 31, 2009 and 2008

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- Three months ended March 31, 2009 and 2008

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(Including Quantitative and Qualitative Disclosure about Market Risk)

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GAMCO INVESTORS, INC. AND SUBSIDIARIES
CONDENSED CONSOLIDATED STATEMENTS OF INCOME
UNAUDITED

(In thousands, except per share data)

| | Three Months Ended | |
|----------|--------------------|------|
| | March 31, | |
| | 2009 | 2008 |
| Revenues | | |