

WHITFIELD HARLEY A

Form 4

February 28, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
WHITFIELD HARLEY A

(Last) (First) (Middle)

6000 WESTOWN PARKWAY

(Street)

WEST DES MOINES, IA 50266

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

AMERICAN EQUITY
INVESTMENT LIFE HOLDING
CO [AEL]

3. Date of Earliest Transaction
(Month/Day/Year)

02/27/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	02/27/2013		M		10,000	A \$ 5.85 32,000	D
Common Stock	02/27/2013		M		10,000	A \$ 10.85 42,000	D
Common Stock	02/27/2013		M		1,000	A \$ 10.77 43,000	D
Common Stock	02/27/2013		M		1,000	A \$ 11 44,000	D
Common Stock	02/27/2013		M		1,000	A \$ 9 45,000	D

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Common Stock	02/27/2013	S	300	D	\$ 13.53	44,700	D
Common Stock	02/27/2013	S	2,223	D	\$ 13.54	42,477	D
Common Stock	02/27/2013	S	200	D	\$ 13.545	42,277	D
Common Stock	02/27/2013	S	100	D	\$ 13.55	42,177	D
Common Stock	02/27/2013	S	600	D	\$ 13.56	41,577	D
Common Stock	02/27/2013	S	2,800	D	\$ 13.57	38,777	D
Common Stock	02/27/2013	S	1,700	D	\$ 13.58	37,077	D
Common Stock	02/27/2013	S	8,277	D	\$ 13.59	28,800	D
Common Stock	02/27/2013	S	1,800	D	\$ 13.6	27,000	D
Common Stock	02/27/2013	S	1,100	D	\$ 13.61	25,900	D
Common Stock	02/27/2013	S	900	D	\$ 13.62	25,000	D
Common Stock	02/27/2013	S	400	D	\$ 13.64	24,600	D
Common Stock	02/27/2013	S	100	D	\$ 13.65	24,500	D
Common Stock	02/27/2013	S	700	D	\$ 13.66	23,800	D
Common Stock	02/27/2013	S	900	D	\$ 13.67	22,900	D
Common Stock	02/27/2013	S	700	D	\$ 13.68	22,200	D
Common Stock	02/27/2013	S	200	D	\$ 13.69	22,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options - Right to Buy	\$ 5.85	02/27/2013		M		10,000		06/04/2012	06/04/2019	Common Stock	10,000
Options - Right to Buy	\$ 10.85	02/27/2013		M		10,000		06/11/2011	06/11/2018	Common Stock	10,000
Options - Right to Buy	\$ 10.77	02/27/2013		M		1,000		06/30/2005	12/31/2014	Common Stock	1,000
Options - Right to Buy	\$ 11	02/27/2013		M		1,000		06/10/2004	06/10/2014	Common Stock	1,000
Options - Right to Buy	\$ 9	02/27/2013		M		1,000		12/04/2003	12/04/2013	Common Stock	1,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
WHITFIELD HARLEY A 6000 WESTOWN PARKWAY WEST DES MOINES, IA 50266	X

Signatures

Debra J. Richardson, by Power of Attorney
02/28/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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