

CARVER BANCORP INC
Form 8-K
September 29, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): September 25, 2014

CARVER BANCORP, INC.
(Exact Name of Registrant as Specified in its Charter)

| | | |
|---|----------------------------------|---|
| Delaware (State or Other Jurisdiction of Incorporation) | 1-13007 (Commission File No.) | 13-3904174 (I.R.S. Employer Identification No.) |
|---|----------------------------------|---|

| | |
|--|--------------------------|
| 75 West 125th Street, New York, NY (Address of Principal Executive Offices) | 10027-4512 (Zip Code) |
|--|--------------------------|

Registrant's telephone number, including area code: (212) 360-8820

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders

On September 25, 2014, Carver Bancorp, Inc. (the "Company") held its Annual Meeting of Stockholders. At the Annual Meeting, stockholders considered the election of directors, the approval of the 2014 Carver Bancorp, Inc. Equity Incentive Plan, the ratification of the independent registered public accountants, and an advisory vote to approve the compensation paid to the Company's named executive officers. The vote of the stockholders was as follows:

Proposal 1. The election as directors of the nominees listed below each to serve for a three-year term.

| Nominee | For | Withheld | Broker Non-Votes |
|---------------------|-----------|----------|------------------|
| Robert Holland, Jr. | 2,874,556 | 1,089 | 243,455 |
| Janet L. Rollé | 2,874,614 | 1,031 | 243,455 |
| Lewis P. Jones III | 2,874,556 | 1,089 | 243,455 |
| Colvin W. Grannum | 2,874,587 | 1,058 | 243,455 |

Proposal 2. To ratify the 2014 Carver Bancorp, Inc. Equity Incentive Plan.

| For | Against | Abstain | Broker Non-Votes |
|-----------|---------|---------|------------------|
| 2,873,305 | 1,122 | 1,218 | 243,455 |

Proposal 3. To ratify the appointment of KPMG LLP as independent auditors for the Company for the fiscal year ending March 31, 2015.

| For | Against | Abstain |
|-----------|---------|---------|
| 3,118,225 | 150 | 725 |

Proposal 4. An advisory, non-binding proposal to approve the compensation of our named executive officers as described in the proxy statement.

| For | Against | Abstain | Broker Non-Votes |
|-----------|---------|---------|------------------|
| 2,872,307 | 1,887 | 1,451 | 243,455 |

Item 8.01 Other Events.

On September 25, 2014, the Company made a presentation at its 2014 Annual Meeting of Stockholders. A copy of the presentation as presented at the 2014 Annual Meeting of Stockholders is attached as Exhibit 99.1 to this report and is being furnished to the Securities and Exchange Commission and shall not be deemed "filed" for any purpose.

Item 9.01. Financial Statements and Exhibits.

- (a) Financial statements of businesses acquired. Not Applicable.
- (b) Pro forma financial information. Not Applicable.
- (c) Shell company transactions: Not Applicable.
- (d) Exhibits.

The following Exhibit is attached as part of this report:

| Exhibit Number | Description |
|----------------|--|
| Exhibit 99.1 | Carver Bancorp, Inc. Presentation dated September 25, 2014 |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

CARVER BANCORP, INC.

DATE: September 29, 2014

By: /s/ Deborah C. Wright
Deborah C. Wright
Chairman and Chief Executive Officer