Golden Minerals Co Form 4/A June 14, 2016

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number:

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Form 4 or
Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Sentient Global Resources Fund IV,
L.P.

(Last) (First)

LANDMARK SQUARE, 1ST FL., 64 EARTH CLOSE, WEST BAY BEACH SOUTH, PO BOX 10795

(State)

**CAYMAN, E9 KY1-1107** 

(City)

JTH, PO BOX 10795

(Middle)

(Zip)

GEORGE TOWN, GRAND

2. Issuer Name **and** Ticker or Trading Symbol

Golden Minerals Co [AUMN]

3. Date of Earliest Transaction (Month/Day/Year) 06/10/2016

4. If Amendment, Date Original

 $Filed (Month/Day/Year) \\ 02/11/2016$ 

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_\_\_\_ Director \_\_X\_\_ 10% Owner
\_\_\_ Officer (give title \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities A orDisposed of (Instr. 3, 4 and	D)	red (A) or	5. Amount of Securities Beneficially Owned Following	Ownership Ind Form: Be Direct (D) Ov	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(IIIstr. 4)
Common Stock							33,638,944	D	
Common Stock	06/10/2016		C	4,011,740 (1)	A	\$ 0.2891	37,650,684	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

#### Edgar Filing: Golden Minerals Co - Form 4/A

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. Number of tionSecurities According Disposed of (Instr. 3, 4, and Instr.	quired (A) or D)	6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title Underly (Instr. 3
	2					-	Date Exercisable	Expiration Date	Title
Warrants	\$ 4.72 (2)	06/10/2016		Code V	(A) 682,897	(D)	03/20/2013	09/19/2017	Comn
Warrants	\$ 4.72 (2)	06/10/2016		J	119,352		09/10/2014	09/19/2017	Comn Stoc
Warrants	\$ 0.87	05/06/2016		J	2,900,000		03/11/2015	09/10/2019	Comn
Warrants	\$ 4.72 (2)	06/10/2016		J	225,441		01/19/2016	09/19/2017	Comn
Convertible Note	\$ 0.29	06/10/2016		C		4,011,740 (1)	01/19/2016	10/27/2016	Comn
Warrants	\$ 4.72 (2)	06/10/2016		J	102,182		02/11/2016	09/19/2017	Comn
Warrants	\$ 4.72 (2)	06/10/2016		J	86,531		05/06/2016	09/19/2017	Comn
Warrants	\$ 4.72	06/10/2016		J	1,589 (3)		06/10/2016	09/19/2017	Comn

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships				
	Director	10% Owner	Officer	Other	

Dolotionchine

X

Sentient Global Resources Fund IV, L.P. LANDMARK SQUARE, 1ST FL., 64 EARTH CLOSE WEST BAY BEACH SOUTH, PO BOX 10795 GEORGE TOWN, GRAND CAYMAN, E9 KY1-1107

## **Signatures**

/s/ Andrew Pullar	06/14/2016
**Signature of Reporting Person	Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued upon final conversion (\$1,125,583.61 principal plus \$34,064.20 of interest) of Convertible Note.
- (2) Reflects revised Warrant exercise price resulting from anti-dilution adjustments triggered by final conversion of Convertible Note (see footnote 1).
- (3) Reflects additional shares issuable upon exercise of Warrants originally issued in 2012 to give effect to anti-dilution adjustments triggered by final conversion of Convertible Note.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.