#### Edgar Filing: TYSON FOODS INC - Form 3

#### TYSON FOODS INC

Form 3

November 20, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement TYSON FOODS INC [TSN] A Stouffer Stephen R (Month/Day/Year) 11/14/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2200 DON TYSON PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) SPRINGDALE, ARÂ 72762 Form filed by More than One President of Fresh Meats Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Class A Common Stock Ι 1,832 Employee Stock Purchase Plan Â Class A Common Stock 8,104 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 6. Nature of 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Indirect Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Options (Right to Buy)	09/26/2006(1)	09/29/2014	Class A Common Stock	800	\$ 15.96	D	Â
Non-Qualified Stock Options (Right to Buy)	11/16/2007(1)	11/16/2015	Class A Common Stock	800	\$ 16.35	D	Â
Non-Qualified Stock Options (Right to Buy)	11/17/2008(1)	11/17/2016	Class A Common Stock	800	\$ 15.37	D	Â
Non-Qualified Stock Options (Right to Buy)	11/16/2009(1)	11/16/2017	Class A Common Stock	800	\$ 15.06	D	Â
Non-Qualified Stock Options (Right to Buy)	11/14/2010(1)	11/14/2018	Class A Common Stock	800	\$ 4.9	D	Â
Non-Qualified Stock Options (Right to Buy)	11/30/2010(1)	11/30/2019	Class A Common Stock	3,840	\$ 12.02	D	Â
Non-Qualified Stock Options (Right to Buy)	11/29/2011(2)	11/29/2020	Class A Common Stock	3,840	\$ 16.19	D	Â
Non-Qualified Stock Options (Right to Buy)	11/28/2012(2)	11/28/2021	Class A Common Stock	3,840	\$ 19.63	D	Â
Non-Qualified Stock Options (Right to Buy)	11/26/2013(2)	11/26/2022	Class A Common Stock	21,200	\$ 19.36	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Stouffer Stephen R 2200 DON TYSON PARKWAY SPRINGDALE, AR 72762	Â	Â	President of Fresh Meats	Â		

## **Signatures**

/s/ R. Read Hudson as Power of Attorney for Stephen R. Stouffer

Date

11/20/2013

\*\*Signature of Reporting Person

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are vested.
- (2) The stock options vest at 33 1/3% on the first anniversary date of the grant and 33 1/3% for each of the following two years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.