Freda Fabrizio Form 4 August 22, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Freda Fabrizio

1(b).

2. Issuer Name and Ticker or Trading

Symbol

**ESTEE LAUDER COMPANIES** INC [EL]

3. Date of Earliest Transaction

08/22/2018

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

President and CEO

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Last)

(First)

(Middle)

(Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

THE ESTEE LAUDER **COMPANIES INC., 767 FIFTH AVENUE** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10153

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Freda Fabrizio - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of		of	6. Date Exercisable and		7. Title and Amount of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative			Expiration Date		Underlying Securities		
Security	or Exercise		any	Code		Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Acquired (A	A) or				
	Derivative					Disposed of (D)					
	Security					(Instr. 3, 4,	and				
	•					5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Performance Share Units	(1)	08/22/2018		A(2)		129,282		(3)	(3)	Class A Common Stock	129,282

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Freda Fabrizio THE ESTEE LAUDER COMPANIES INC. 767 FIFTH AVENUE NEW YORK, NY 10153

X

President and CEO

## **Signatures**

Fabrizio Freda, by Maureen Sladek, attorney-in-fact

08/22/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable. Performance Share Units ("PSUs") are paid out in shares of Class A Common Stock on a one-to-one basis on the applicable vesting date.
- (2) The performance conditions of this first of three tranches of the PSU award granted on September 4, 2015 were certified as achieved on August 22, 2018.
- (3) This tranche will vest on June 30, 2021, subject to (a) the Reporting Person's continued employment, and (b) earlier forfeiture or payment in accordance with the terms of the PSU award agreement.
- (4) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2