

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

BROWN FORMAN CORP
Form SC 13D/A
February 14, 2002

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20645

SCHEDULE 13D

Under the Securities Exchange Act of 1934
(Amendment No. 3)

BROWN-FORMAN CORPORATION
(Name of Issuer)

Class A Common Stock
(Title of Class of Securities)

115637-10-0
(CUSIP Number)

Thomas E. Rutledge
Ogden Newell & Welch PLLC
1700 PNC Plaza
500 West Jefferson Street
Louisville, Kentucky 40202
(502) 582-1601
(Name, Address and Telephone Number of Person
Authorized to Receive Notices and Communications)

January 1, 2002
(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box [].

CUSIP NO. 115637-10-0

(1)	Names of reporting persons.....	Owsley Brown Fra
	I.R.S. Identification Nos. of above persons	(403-50-5971)

(2)	Check the appropriate box if a member of a group (see instructions)	(a) -----
-----	--	-----------

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

(b)

(3)	SEC use only	
(4)	Source of funds (see instructions).....	N/A
(5)	Check if disclosure of legal proceedings is required pursuant to Items 2(d) or 2(e)	
(6)	Citizenship or Place of Organization	United States of A
Number of shares beneficially owned by each reporting person with:		
(7)	Sole voting power	580,225
(8)	Shared voting power.....	11,560,978
(9)	Sole dispositive power	580,225
(10)	Shared dispositive power	11,560,978
(11)	Aggregate amount beneficially owned by each reporting person	12,141,203
(12)	Check if the aggregate amount Row (11) excludes certain shares (see instructions).	N/A
(13)	Percent of class represented by amount in Row (11).....	41.88%
(14)	Type of reporting person (see instructions)	IN

2

ITEM 1. SECURITY AND ISSUER.

Brown-Forman Corporation
Class A Common Stock
850 Dixie Highway
Louisville, Kentucky 40210

ITEM 2. IDENTITY AND BACKGROUND.

a) Name: Owsley Brown Frazier

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

- b) Principal Business address: 4938 Brownsboro Road
Suite 200
Louisville, Kentucky 40222
- c) Former Vice Chairman of the issuer, Brown-Forman Corporation.
- d) Criminal Convictions: No criminal convictions in the last five years (excluding traffic violations or similar misdemeanors).
- e) Securities Proceedings: No judgments, decrees or final orders enjoining further violations of, or prohibiting or mandating activities subject to federal or state securities laws or finding any violations with respect to such laws.

ITEM 3. SOURCE AND AMOUNT OF FUNDS OR OTHER CONSIDERATION.

N/A

ITEM 4. PURPOSE OF TRANSACTION.

N/A

ITEM 5. INTEREST IN SECURITIES OF THE ISSUER.

The amount of shares beneficially owned by the undersigned as of December 31, 2001, is as follows:

3

	Aggregate Number -----	Per of C ----
(a) Beneficially Owned	12,141,203	41.
(b) Sole Voting Power	580,225	
Shared by Voting Power	11,560,978	
Sole Disposition Power	580,225	
Shared Disposition Power	11,560,978	
(c) No transactions in Brown-Forman Corporation Class A Common Stock were effected by Owsley Brown Frazier in the sixty days prior to December 31, 2001.		
(d) Other persons have the right to receive income from trusts holding Brown-Forman Corporation Class A Common Stock, as to which stock the undersigned has sole or shared voting power. The following person is sole income beneficiary of one of these trusts which holds more than 5% of the outstanding shares of such Class A Common Stock: Sarah S. Brown.		
(e) Not applicable.		

Edgar Filing: BROWN FORMAN CORP - Form SC 13D/A

ITEM 6. CONTRACTS, ARRANGEMENTS, UNDERSTANDINGS OR RELATIONSHIPS WITH RESPECT TO SECURITIES OF THE ISSUER.

None.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 12, 2002

--

/s/ Owsley Brown Frazier

Owsley Brown Frazier