

Edgar Filing: AIGEN GLENN A - Form 4

AIGEN GLENN A  
Form 4  
March 14, 2003

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OMB APPROVAL  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

Aigen Glenn A.  
-----  
(Last) (First) (Middle)

One Rockefeller Plaza  
-----  
(Street)

New York New York 10020  
-----  
(City) (State) (Zip)

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2. Issuer Name AND Ticker or Trading Symbol

BKF Capital Group, Inc./BKF  
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3. IRS Identification Number of Reporting Person, if any (Voluntary)

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4. Statement for Month/Day/Year

March 12, 2003  
=====

5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person to Issuer

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(Check all applicable)

- Director, Officer, 10% Owner, Other

SENIOR VICE PRESIDENT, CHIEF FINANCIAL OFFICER AND TREASURER

7. Individual or Joint/Group Filing (Check Applicable Line)

- Form Filed by One Reporting Person, Form Filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

Table with columns: 1. Title of Security (Instr. 3), 2. Transaction Date (Month/Day/Year), 2A. Deemed Execution Date (Month/Day/Year), 3. Transaction Code (Instr. 8), 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5), Amount, or Price. Includes dashed lines for data entry.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one Reporting Person, see Instruction 4 (b) (v).

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB NUMBER.

(Over) SEC 1474 (9-02)

FORM 4 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A Deemed Exe- cution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expira- tion	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Numbe of of Title Share
Restricted Stock Units	1 for 1	3/12/03		A	6,110	(1)	Common Stock 6,110
Restricted Stock Units	1 for 1	3/12/03		A	20,000	(2)	Common Stock 20,000

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Explanation of Responses:

(1) One-third of the restricted stock units (RSUs) will vest on each of December 31, 2003, December 31, 2004 and December 31, 2005, but the delivery of the shares of Common Stock underlying the RSUs will not occur until December 31, 2005, and delivery can be deferred by the reporting person.

(2) The restricted stock units (RSUs) will vest on December 3, 2005, but the delivery of shares of Common Stock underlying the RSUs may be deferred by the reporting person.

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/s/ Glenn A. Aigen

March 14, 2003

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\*\*Signature of Reporting Person

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Date

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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