

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

FLAHERTY & CRUMRINE TOTAL RETURN FUND INC

Form NSAR-A

July 26, 2013

PAGE 1
000 A000000 05/31/2013
000 C000000 1245648
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FLAHERTY&CRUMRINE TOTAL RETURN FUND
001 B000000 811-21380
001 C000000 6267957300
002 A000000 301 E. COLORADO BOULEVARD, SUITE 720
002 B000000 PASADENA
002 C000000 CA
002 D010000 91101
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FLAHERTY & CRUMRINE INCORPORATED
008 B000001 A
008 C000001 801-19384
008 D010001 PASADENA
008 D020001 CA
008 D030001 91101
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WESTBOROUGH
010 C020001 MA
010 C030001 01581
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WESTBOROUGH
012 C020001 MA
012 C030001 01581
012 A000002 Destra Capital Investment LLC
012 B000002 84-68006
012 C010002 Lisle
012 C020002 IL
012 C030002 60532
013 A000001 KPMG LLP
013 B010001 BOSTON
013 B020001 MA
013 B030001 02110
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
PAGE 2
015 C020001 NY
015 C030001 10286
018 000000 Y
019 A000000 N
019 B000000 0
021 000000 0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

022 A000001 WELLS FARGO SECURITIES, LLC.
022 B000001 56-2326000
022 C000001 15111
022 D000001 14520
022 A000002 BANK OF AMERICA SECURITIES, LLC
022 B000002 13-4078651
022 C000002 5614
022 D000002 1123
022 A000003 JPMORGAN CHASE & CO
022 B000003 13-3224016
022 C000003 3818
022 D000003 838
022 A000004 MORGAN STANLEY
022 B000004 13-2655998
022 C000004 568
022 D000004 3819
022 A000005 CANTOR FINITZGERALD
022 B000005 13-2698893
022 C000005 4276
022 D000005 0
022 A000006 GOLDMAN SACHS & CO.
022 B000006 13-5108880
022 C000006 3434
022 D000006 0
022 A000007 SumRidge Partners Holdings LLC
022 B000007 00-0000000
022 C000007 1441
022 D000007 950
022 A000008 STORMHARBOUR SECURITIES LP
022 B000008 26-4606771
022 C000008 0
022 D000008 2293
022 A000009 CASTLEOAK SECURITIES, LP
022 B000009 45-0496456
022 C000009 0
022 D000009 2127
022 A000010 GLEACHER & COMPANY SECURITIES
022 B000010 14-1391446
022 C000010 0
022 D000010 1874
023 C000000 40492
023 D000000 31052
024 000000 Y
025 A000001 GOLDMAN SACHS & CO.
025 B000001 13-5108880
PAGE 3
025 C000001 E
025 D000001 4124
025 A000002 WELLS FARGO SECURITIES
025 B000002 56-2326000
025 C000002 E
025 D000002 10311
025 A000003 GOLDMAN SACHS & CO.
025 B000003 13-5108880
025 C000003 D
025 D000003 7658
025 A000004 BANK OF AMERICA SECURITIES, LLC
025 B000004 13-4078651
025 C000004 E
025 D000004 634
025 A000005 MORGAN STANLEY
025 B000005 13-2655998

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

025	C000005	E	
025	D000005		285
025	A000006	MORGAN STANLEY	
025	B000006	13-2655998	
025	C000006	D	
025	D000006		383
025	A000007	JP MORGAN CHASE & CO.	
025	B000007	13-3224016	
025	C000007	E	
025	D000007		7199
026	A000000	N	
026	B000000	N	
026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
	PAGE	4	
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000		0.00
030	C000000		0.00
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

042 C000000 0
042 D000000 0
042 E000000 0
042 F000000 0
042 G000000 0
042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.000
048 A010000 200000
048 A020000 0.575
048 B010000 300000
048 B020000 0.500
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
PAGE 5
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 500000
048 K020000 0.450
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 Y
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0

PAGE 6

062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

070	O010000	Y	
070	O020000	Y	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
	PAGE	7	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		40492
071	B000000		39117
071	C000000		300677
071	D000000		13
072	A000000		6
072	B000000		5544
072	C000000		4615
072	D000000		0
072	E000000		25
072	F000000		835
072	G000000		123
072	H000000		0
072	I000000		95
072	J000000		18
072	K000000		0
072	L000000		48
072	M000000		37
072	N000000		0
072	O000000		0
072	P000000		539
072	Q000000		0
072	R000000		28
072	S000000		33
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		92
072	X000000		1848
072	Y000000		0
072	Z000000		8336
072AA	000000		0
072BB	000000		6116
072CC	010000		12833
072CC	020000		0
072DD	010000		8909
072DD	020000		0
072EE	000000		0
073	A010000		0.9010
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		0
074	B000000		0
074	C000000		0
074	D000000		31990
074	E000000		269988
074	F000000		990
074	G000000		0
	PAGE	8	
074	H000000		0
074	I000000		539
074	J000000		854
074	K000000		0

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

074	L000000	4212
074	M000000	109
074	N000000	308682
074	O000000	1087
074	P000000	145
074	Q000000	101300
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	189
074	S000000	0
074	T000000	205961
074	U010000	9898
074	U020000	0
074	V010000	20.81
074	V020000	0.00
074	W000000	0.0000
074	X000000	15
074	Y000000	0
075	A000000	0
075	B000000	204257
076	000000	20.00
077	A000000	Y
077	B000000	N
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	N
077	Q030000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
	PAGE	9
086	A010000	23
086	A020000	463
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	338479108

Edgar Filing: FLAHERTY & CRUMRINE TOTAL RETURN FUND INC - Form NSAR-A

087 A030000 FLC

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE R. ERIC CHADWICK

TITLE CHIEF FINANCIAL