

Richmond David S.
Form 4
October 17, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Richmond David S.

2. Issuer Name and Ticker or Trading Symbol
ROCKWELL MEDICAL, INC.
[RMTI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3568 WILDWOOD AVENUE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/15/2018

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
See Footnotes 1 and 2

JACKSON, MI 49202
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock ⁽¹⁾ <u>(2)</u> <u>(3)</u>	10/15/2018		P	5,541,562	A <u>(4)</u> 5,541,562	I	By: The RBI Opportunities Fund, LLC ⁽⁵⁾
Common Stock ⁽¹⁾ <u>(2)</u> <u>(3)</u>					164,841	I	By: RBI Private Investment I, LLC ⁽⁶⁾
Common Stock ⁽¹⁾ <u>(2)</u> <u>(3)</u>					38,490	I	By: RBI Private Investment II, LLC ⁽⁷⁾

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Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	52,680	I	By: Richmond Brothers 401(k) Profit Sharing Plan <u>(8)</u>
Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	176,376	D	
Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	42,536	I	By: Matthew J. Curfman
Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	36,249	I	By: Spouse of Matthew J. Curfman <u>(12)</u>
Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	28,096	I	By: Spouse of David S. Richmond <u>(9)</u>
Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	147	I	By: Daughter of David S. Richmond <u>(10)</u>
Common Stock <u>(1)</u> <u>(2)</u> <u>(3)</u>	7	I	By: Son of David S. Richmond <u>(11)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount
Warrants (right to buy) <u>(1)</u> <u>(2)</u>	\$ 4.96 <u>(4)</u>	10/15/2018		P	5,541,562	04/17/2019	10/17/2023	Common Stock	2,770

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Richmond David S. 3568 WILDWOOD AVENUE JACKSON, MI 49202		X		See Footnotes 1 and 2
RBI Private Investment I, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnotes 1 and 2
RBI Private Investment II, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnotes 1 and 2
RBI OPPORTUNITIES FUND, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnotes 1 and 2
RBI PI Manager, LLC 3568 WILDWOOD AVENUE JACKSON, MI 49202		X		See Footnotes 1 and 2
Richmond Brothers 401(k) Profit Sharing Plan 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnotes 1 and 2
Richmond Brothers, Inc. 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnotes 1 and 2
Curfman Matthew J. 3568 WILDWOOD AVENUE JACKSON, MI 49202				See Footnotes 1 and 2

Signatures

By: /s/ David S. Richmond	10/17/2018
__Signature of Reporting Person	Date
By: RBI Private Investment I, LLC; By: RBI PI Manager, LLC, Manager; By: /s/ David S. Richmond, Manager	10/17/2018
__Signature of Reporting Person	Date
By: RBI Private Investment II, LLC; By: RBI PI Manager, LLC, Manager; By: /s/ David S. Richmond, Manager	10/17/2018
__Signature of Reporting Person	Date
By: The RBI Opportunities Fund, LLC; By: RBI PI Manager, LLC, Manager; By: /s/ David S. Richmond, Manager	10/17/2018
__Signature of Reporting Person	Date
By: RBI PI Manager, LLC; By: /s/ David S. Richmond, Manager	10/17/2018
__Signature of Reporting Person	Date

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