Reid Julian Form 4 January 18, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* CITY OF LONDON INVESTMENT **GROUP PLC** 

> (Last) (First)

(Middle)

77 GRACECHURCH STREET,

(Street)

2. Issuer Name and Ticker or Trading Symbol

CHINA FUND INC [CHN]

3. Date of Earliest Transaction (Month/Day/Year)

01/17/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ 10% Owner Director Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

## LONDON, X0 EC3V0AS

(City)	(State)	(Zip) Tab	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Dir (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share (1)							308,857	I	By: Emerging (BMI) Markets Country Fund (2)
Common Stock, par value \$.01 per share							116,563	I	By: Emerging Markets Free Fund (3)
Common							250,030	I	By:

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Stock, par value \$.01 per share (1)									Emerging Markets Global Fund
Common Stock, par value \$.01 per share							172,029	I	By: Emerging Markets Investable Fund (5)
Common Stock, par value \$.01 per share (1)	01/17/2018	P	3,500	) /	A	\$ 22.98	356,852	I	By: Global Emerging Markets Fund (6)
Common Stock, par value \$.01 per share (1)							168,067	I	By: The Emerging World Fund
Common Stock, par value \$.01 per share							158,448	I	By: Emerging Free Markets Country Fund (8)
Common Stock, par value \$.01 per share							305,041	I	By: Emerging Markets Country Fund (9)
Common Stock, par value \$.01 per share							46,658	I	By: Investable Emerging Markets Country Fund (10)
Common Stock, par value \$.01 per share (1)							42,096	I	By: The EM Plus CEF Fund (11)
Common Stock, par value \$.01 per share							2,293,373	I	By: unaffiliated third-party segregated accounts (12)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration D	ate	Amour	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	<ol><li>B) Derivativ</li></ol>	re e		Securit	ties	(Instr. 5)
	Derivative				Securities	S		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or Number	
						Exercisable	Date			
				C- 1-	V (A) (D)				of	
				Code	V (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
reporting owner name, reduces		10% Owner	Officer	Other	
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		X			
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		X			
Reid Julian C/O ANJIE LAROCCA, DEUTSCHE BANK 1251 AVENUE OF THE AMERICAS NEW YORK, NY 10154		X			
Silver Richard C/O ANJIE LAROCCA, DEUTSCHE ASSET MGMT. 345 PARK AVENUE NEW YORK, NY 10154		X			
Signatures					

/s/ Barry Olliff, Director- City of London Group PLC 01/18/2018

> \*\*Signature of Reporting Person Date

3 Reporting Owners

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/s/ Barry Olliff, Director- City of London Investment Management Company Limited					
	**Signature of Reporting Person	Date			
/s/ Julian Reid		01/18/2018			
	**Signature of Reporting Person	Date			
/s/ Richard A. Silver		01/18/2018			
	**Signature of Reporting Person	Date			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This Form 4 is filed jointly by City of London Investment Group, PLC ("CLIG"), City of London Investment Management Company Limited ("CLIM"), Julian Reid, and Richard A. Silver (collectively, the "Reporting Persons"). Each of the Reporting Persons is a
- (1) member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of the Issuer.
- (2) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (3) These securities are beneficially owned by Emerging Markets Free Fund.
- (4) These securities are beneficially owned by Emerging Markets Global Fund.
- (5) These securities are beneficially owned by Emerging Markets Investable Fund.
- (6) These securities are beneficially owned by Global Emerging Markets Fund.
- (7) These securities are beneficially owned by The Emerging World Fund.
- (8) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (9) These securities are beneficially owned by by Emerging Markets Country Fund.
- (10) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (11) These securities are beneficially owned by The EM Plus CEF Fund.
- (12) These securities are beneficially owned by unaffiliated third-party segregated accounts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4