

NEW GERMANY FUND INC
 Form 3
 April 26, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Å Rogers Brett D
 (Last) (First) (Middle)

2. Date of Event Requiring Statement (Month/Day/Year)
 04/20/2007

3. Issuer Name and Ticker or Trading Symbol
 NEW GERMANY FUND INC [GF]

4. Relationship of Reporting Person(s) to Issuer
 5. If Amendment, Date Original Filed(Month/Day/Year)

C/O ANJIE LAROCCA,
 DEUTSCHE ASSET MGMT,Å 345
 PARK AVENUE
 (Street)

(Check all applicable)

___ Director ___ 10% Owner
 ___X___ Officer (give ___ Other (specify title below) below)
 Chief Compliance Officer

NEW YORK,Å NYÅ 10154

(City) (State) (Zip)

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)
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Code	V	Amount	(A) or (D)	Price
Class A Common Stock		07/28/2008	M	3,572 A \$ 0 (1) 42,949 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Amount of Derivative Security (Instr. 3 and 4)
Restricted Stock Units	(1)	07/28/2008		M	3,572	(2) (1)	Class A Common Stock	3,572

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DORAN JAMES E 915 DEGUIGNE DR. P.O. BOX 3453 SUNNYVALE, CA 94088-3453			COO	

Signatures

/s/ Dario Sacomani, Attorney-in-Fact for Reporting Person 07/30/2008

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Spansion Inc. Class A Common Stock. There is no exercise price or expiration date.
Restricted stock units were granted to the reporting person on December 15, 2005, and vest over a four-year period. 14,287 shares subject to the award vested on April 28, 2006. The remaining shares subject to the award vest in equal installments, quarterly, until fully vested on April 28, 2009. Vested shares are delivered to the reporting person on each vesting date.
- (2)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

3,003,154
235,268,473
Henry A. Clark III
534,846,488
4,613,231
2,985,633
235,268,473

Thomas A. Fanning
508,506,423
25,487,468
8,451,461
235,268,473
David J. Grain
534,793,224
4,605,602
3,046,526
235,268,473
Veronica M. Hagen
534,134,147
5,348,218
2,962,987
235,268,473
Warren A. Hood, Jr.
533,335,297
6,073,396
3,036,659
235,268,473
Linda P. Hudson
521,629,713
17,883,666
2,931,973
235,268,473
Donald M. James
518,945,750
20,312,967
3,186,635
235,268,473
John D. Johns
521,900,117
17,450,101
3,095,134
235,268,473
Dale E. Klein
534,963,314
4,446,142
3,035,896
235,268,473
William G. Smith, Jr.
532,893,177
6,592,829
2,959,346
235,268,473
Steven R. Specker
534,432,828
4,894,018
3,118,506
235,268,473
Larry D. Thompson

Explanation of Responses:

534,652,037
4,718,266
3,075,049
235,268,473
E. Jenner Wood III
534,872,816
4,492,057
3,080,479
235,268,473

2. The proposal to approve a by-law amendment to permit proxy access was approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
520,667,900	17,181,771	4,595,681	235,268,473

The proposal to approve an amendment to the certificate of incorporation to reduce the supermajority vote requirements to a majority vote, which pursuant to the certificate of incorporation requires the affirmative vote of two-thirds of the issued and outstanding shares, was not approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
525,712,497	12,283,015	4,449,840	235,268,473

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4. The proposal to approve an amendment to the certificate of incorporation to eliminate the “fair price” anti-takeover provision was approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
513,062,968	23,836,934	5,545,450	235,268,473

5. The proposal to approve a by-law amendment to permit the board to make certain future amendments to the by-laws without stockholder ratification was approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
405,212,994	132,162,957	5,069,401	235,268,473

6. The proposal to approve, on an advisory basis, the Company’s named executive officers’ compensation was approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
500,015,737	35,523,173	6,906,442	235,268,473

7. The proposal to approve the material terms for qualified performance-based compensation under the omnibus plan was approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
509,450,647	26,139,846	6,854,859	235,268,473

8. The proposal to ratify the appointment of Deloitte & Touche LLP as the Company’s independent registered public accounting firm for 2016 was approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
763,605,958	9,669,397	4,438,470	0

9. The stockholder proposal on a report on strategy for international energy agency 2°C scenario was not approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
176,207,023	335,098,875	31,139,454	235,268,473

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10. The stockholder proposal on a report quantifying potential financial losses to the company associated with stranding of coal assets was not approved based upon the following votes:

Votes For	Votes Against	Abstentions	Broker Non-Votes
152,832,433	361,770,478	27,842,441	235,268,473

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

3.1 Certificate of Amendment to the Certificate of Incorporation of the Company effective May 26, 2016.

3.2 By-Laws of the Company, as amended effective May 25, 2016.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 26, 2016 THE SOUTHERN COMPANY

By/s/Melissa K. Caen
Melissa K. Caen
Corporate Secretary