

Edgar Filing: ACTIVE VOICE CORP - Form SC 13D/A

ACTIVE VOICE CORP  
Form SC 13D/A  
March 02, 2001

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OMB APPROVAL  
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hours per response ..... 0.5  
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SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13D  
(Rule 13d-101)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT  
TO RULE 13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO  
RULE 13d-2(a)

(Amendment No. 2 )

Active Voice Corp.

-----  
(Name of Issuer)

Common Stock

-----  
(Title of Class of Securities)

004938106

-----  
(CUSIP Number)

Nicholas Schettino, Bear, Stearns & Co. Inc.  
115 South Jefferson Rd, Whippany, NJ 07981  
(973) 793-2211

-----  
(Name, Address and Telephone Number of Person  
Authorized to Receive Notices and Communications)

February 28, 2001

-----  
(Date of Event which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), 13d-1(f) or 13d-1(g), check the following box ☐.

Note: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See Rule 13d-7(b) for other parties to whom copies are to be sent.

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(Continued on following pages)

(Page 1 of Pages)

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(1) The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

(SC13D-07/98)

CUSIP No. 004938106 13D Page of Pages

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1 NAME OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

The Bear Stearns Companies Inc.\*\*  
IRS # 13-3286161

---

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*  
(a) ☐  
(b) ☐

---

3 SEC USE ONLY

---

4 SOURCE OF FUNDS\*

---

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED  
PURSUANT TO ITEMS 2(d) OR 2(e) ☐

---

6 CITIZENSHIP OR PLACE OF ORGANIZATION  
  
Delaware

---

7 SOLE VOTING POWER  
  
NUMBER OF  
SHARES 0

---

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|              |    |                          |
|--------------|----|--------------------------|
| BENEFICIALLY | 8  | SHARED VOTING POWER      |
| OWNED BY     | 0  |                          |
| EACH         | 9  | SOLE DISPOSITIVE POWER   |
| REPORTING    | 0  |                          |
| PERSON       | 10 | SHARED DISPOSITIVE POWER |
| WITH         | 0  |                          |

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES\*

☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0%

14 TYPE OF REPORTING PERSON\*

HC

\*SEE INSTRUCTIONS BEFORE FILLING OUT!

1 NAME OF REPORTING PERSONS

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Bear, Stearns & Co. Inc.\*\*  
IRS # 13-3299429

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a) ☐

(b) ☐

3 SEC USE ONLY

4 SOURCE OF FUNDS\*

WC, OO

5 CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED  
PURSUANT TO ITEMS 2(d) OR 2(e)

☐

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6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

7 SOLE VOTING POWER

NUMBER OF

0

SHARES

8 SHARED VOTING POWER  
BENEFICIALLY

OWNED BY

0

EACH

9 SOLE DISPOSITIVE POWER

REPORTING

0

PERSON

10 SHARED DISPOSITIVE POWER

WITH

0

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES\*

☐

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0%

14 TYPE OF REPORTING PERSON\*

BD

\*SEE INSTRUCTIONS BEFORE FILLING OUT!

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Page 3

Schedule 13D Amendment No. 2

This statement constitutes Amendment No. 2 to the statement on Schedule 13D (the "Schedule 13D") filed with the Securities and Exchange Commission by Bear,

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Stearns & Co. Inc. ("Bear Stearns") with respect to its ownership of the Common Stock of Active Voice Corp. (the "Issuer").

### Item 3: Source and Amount of Funds or Other Consideration

Not applicable

### Item 5: Interest in Securities of the Issuer (as of 02/28/01)

- (a) The responses of Bear Stearns to Rows (11) through (13) of the cover page of this Amendment No. 2 to Schedule 13D are incorporated herein by reference. To the best of Bear Stearns' knowledge, none of its executive officers or directors beneficially own any Common Stock of the Issuer.
- (b) The responses of Bear Stearns to Rows (7) through (10) of the cover page of this Amendment No. 2 to Schedule 13D are incorporated herein by reference.
- (c) Since the date of its initial filing on Schedule 13D, Bear Stearns has effected transactions in the Common Stock of the Issuer. Information concerning transactions in the Common Stock effected by Bear Stearns is set forth on Appendix I.
- (d) Not Applicable.
- (e) On February 28, 2001, Bear Stearns exchanged 848,924 shares of Active Voice Corp. Therefore, Bear Stearns ceased to be the beneficial owner of more than five percent of the Common Stock of the Issuer since the date of its original filing.

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\*\*Bear Stearns & Co. is a subsidiary of The Bear Stearns Companies Inc.

Signature:

After reasonable inquiry and to the best of my knowledge and belief,  
I certify that the information set forth in this statement is true,  
complete and correct.

March 2, 2001

-----  
(Date)

/S/

-----  
(Signature)

Barry J. Cohen/Senior Managing Director

-----  
(Name/Title)

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## APPENDIX I BEAR, STEARNS & CO. INC.

Active Voice Corp.

Trades from 2/13/01 through 2/28/01

(Various Firm Accounts)

|                   |                   |                     |
|-------------------|-------------------|---------------------|
| ***** 02/28 ***** |                   |                     |
| 540,357-          | ACTIVE VOICE CORP | XCH OA .00          |
| 109,500-          | ACTIVE VOICE CORP | XCH OA .00          |
| 65,917-           | ACTIVE VOICE CORP | XCH OA .00          |
| ***** 02/15 ***** |                   |                     |
| 1,000-            | ACTIVE VOICE CORP | 15 1/4 15,250.00-   |
| 1,000             | ACTIVE VOICE CORP | 15.3775 15,377.50   |
| ***** 02/14 ***** |                   |                     |
| 1,600             | ACTIVE VOICE CORP | 16.7800 26,848.00   |
| 2,400             | ACTIVE VOICE CORP | 16.8040 40,329.60   |
| 100-              | ACTIVE VOICE CORP | 16 5/16 1,631.19-   |
| 100-              | ACTIVE VOICE CORP | 17 1/16 1,706.19-   |
| 1,000-            | ACTIVE VOICE CORP | 17 1/4 17,249.42-   |
| 300-              | ACTIVE VOICE CORP | 17 1/4 5,174.82-    |
| 1,000-            | ACTIVE VOICE CORP | 16 3/4 16,749.44-   |
| 1,000-            | ACTIVE VOICE CORP | 16 5/16 16,311.95-  |
| 1,000-            | ACTIVE VOICE CORP | 16 5/16 16,311.95-  |
| 1,000-            | ACTIVE VOICE CORP | 16 5/8 16,624.44-   |
| 2,000-            | ACTIVE VOICE CORP | 17 1/16 34,123.86-  |
| 3,000-            | ACTIVE VOICE CORP | 16 3/4 50,248.32-   |
| 3,000-            | ACTIVE VOICE CORP | 16 5/8 49,873.33-   |
| 10,000-           | ACTIVE VOICE CORP | 16.6832 166,826.43- |
| 2,400-            | ACTIVE VOICE CORP | 16.8040 40,328.25-  |
| 1,600-            | ACTIVE VOICE CORP | 16.7800 26,847.10-  |
| ***** 02/13 ***** |                   |                     |
| 100               | ACTIVE VOICE CORP | 16 7/8 1,687.50     |
| 1,000             | ACTIVE VOICE CORP | 17 1/8 17,125.00    |
| 5,000             | ACTIVE VOICE CORP | 17 1/8 85,625.00    |
| 80                | ACTIVE VOICE CORP | 17 1/8 1,370.00     |
| 300               | ACTIVE VOICE CORP | 16 7/16 4,931.25    |
| 500               | ACTIVE VOICE CORP | 17 8,500.00         |
| 900               | ACTIVE VOICE CORP | 17 15,300.00        |
| 1,000             | ACTIVE VOICE CORP | 16 7/16 16,437.50   |
| 1,000             | ACTIVE VOICE CORP | 17 3/16 17,187.50   |
| 1,000             | ACTIVE VOICE CORP | 17 1/4 17,250.00    |
| 1,000             | ACTIVE VOICE CORP | 17 1/4 17,250.00    |
| 1,000             | ACTIVE VOICE CORP | 17 1/4 17,250.00    |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 17 17,000.00        |
| 1,000             | ACTIVE VOICE CORP | 16 3/4 16,750.00    |

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|                                  |                   |          |            |
|----------------------------------|-------------------|----------|------------|
| 1,000                            | ACTIVE VOICE CORP | 16 3/4   | 16,750.00  |
| 2,000                            | ACTIVE VOICE CORP | 16 3/4   | 33,500.00  |
| 2,000                            | ACTIVE VOICE CORP | 16 7/8   | 33,750.00  |
| 2,000                            | ACTIVE VOICE CORP | 17 1/4   | 34,500.00  |
| 2,000                            | ACTIVE VOICE CORP | 17       | 34,000.00  |
| 4,000                            | ACTIVE VOICE CORP | 16 7/8   | 67,500.00  |
| 5,000                            | ACTIVE VOICE CORP | 16 3/4   | 83,750.00  |
| 2,100                            | ACTIVE VOICE CORP | 16.6900  | 35,049.00  |
| 100-                             | ACTIVE VOICE CORP | 16 11/16 | 1,668.69-  |
| 1,000-                           | ACTIVE VOICE CORP | 17 3/16  | 17,186.92- |
| 1,100-                           | ACTIVE VOICE CORP | 17 9/16  | 19,318.10- |
| 2,000-                           | ACTIVE VOICE CORP | 17 5/8   | 35,248.82- |
| 3,000-                           | ACTIVE VOICE CORP | 17 9/16  | 52,685.74- |
| 1,000-                           | ACTIVE VOICE CORP | 17 9/16  | 17,561.91- |
| 1,000-                           | ACTIVE VOICE CORP | 17 9/16  | 17,561.91- |
| 1,000-                           | ACTIVE VOICE CORP | 16 3/4   | 16,749.44- |
| 1,000-                           | ACTIVE VOICE CORP | 17 3/16  | 17,186.92- |
| 1,200-                           | ACTIVE VOICE CORP | 17 9/16  | 21,074.29- |
| 2,000-                           | ACTIVE VOICE CORP | 16 11/16 | 33,373.88- |
| 2,800-                           | ACTIVE VOICE CORP | 16.8500  | 47,178.42- |
| 3,900-                           | ACTIVE VOICE CORP | 16.6700  | 65,010.83- |
| 3,900                            | ACTIVE VOICE CORP | 16.6700  | 65,013.00  |
| 1,400-                           | ACTIVE VOICE CORP | 16.6900  | 23,365.22- |
| 2,800                            | ACTIVE VOICE CORP | 16.8500  | 47,180.00  |
| 700-                             | ACTIVE VOICE CORP | 16.6900  | 11,682.61- |
| (various Discretionary Accounts) |                   |          |            |
| ***** 02/28 *****                |                   |          |            |
| 7,172-                           | ACTIVE VOICE CORP | XCH OA   | .00        |
| 59,234-                          | ACTIVE VOICE CORP | XCH OA   | .00        |
| 21,823-                          | ACTIVE VOICE CORP | XCH OA   | .00        |
| 15,616-                          | ACTIVE VOICE CORP | XCH OA   | .00        |
| 29,305-                          | ACTIVE VOICE CORP | XCH OA   | .00        |