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NEUBERGER BERMAN INC
Form 8-K
March 07, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT
Pursuant to Section 13 or 15 (d) of the
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): February 28, 2001

NEUBERGER BERMAN INC.

(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 1-15361

| | |
|-------------------------------------------------------------------|-----------------------------------------|
| DELAWARE | 06-1523639 |
| ----- | ----- |
| (State or other jurisdiction of incorporation or organization) | (I.R.S. Employer Identification No.) |

605 Third Avenue
New York, NY 10158

(Address of principal executive offices)

(212) 476-9000

(Registrant's telephone number, including area code)

ITEM 5. OTHER EVENTS

On February 28, 2001, Neuberger Berman Inc. (the "Corporation"), announced that it completed its acquisition of Executive Monetary Management, Inc., ("EMM"), a New York City based firm that provides wealth management services, including investment advisory services, to high net worth individuals and families. As of the closing date, EMM's clients had approximately \$1.8 billion of investable assets. A copy of the press release issued by the Corporation is attached as Exhibit 99.1 and incorporated herein by reference.

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

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(c) Exhibits

99.1 Press release dated February 28, 2001.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.
(Registrant)

Date: March 7, 2001

By:_____

Kevin Handwerker
Senior Vice President, General Counsel
and Secretary

EXHIBIT INDEX

99.1 Press release issued by the Corporation on February 28, 2001, with respect to acquisition of Executive Monetary Management.