#### LINDOP CHRISTOPHER J

Form 4

February 12, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer subject to

Expires: January 31, 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LINDOP CHRISTOPHER J Issuer Symbol Quotient Ltd [QTNT] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title C/O QUOTIENT LIMITED, 28 12/12/2018 below) **ESPLANADE** Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST HELIER, Y9 JE2 3QA Person

(5)	(=)	Table	e I - Non-D	erivative S	ecuriti	es Acq	juirea, Disposea (	of, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti	ies Acq	uired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code (D)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	and 5)	)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					( 4 )		Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Ordinary Shares	02/09/2019		M	58,333	A	<u>(1)</u>	271,596	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

n Dominative Securities Assumed Disposed of an Boneficially O

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: LINDOP CHRISTOPHER J - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Ordinary Share Option (Right to Buy)	\$ 6.41						<u>(2)</u>	02/09/2017	Ordinary Shares	125
Restricted Stock Units	(1)	02/09/2019		M		58,333	(3)	(3)	Ordinary Shares	58,
Restricted Stock Units	(1)						<u>(4)</u>	<u>(4)</u>	Ordinary Shares	30,
Restricted Stock Units	(1)	12/12/2018		A	11,175		<u>(5)</u>	<u>(5)</u>	Ordinary Shares	11,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Toporous o mar round / radicoss	Director	Director 10% Owner Officer		Other				
LINDOP CHRISTOPHER J								
C/O QUOTIENT LIMITED			Chief Eineneiel Officer					
28 ESPLANADE	Chief Financial Officer							
ST HELIER, Y9 JE2 3QA								

# **Signatures**

/s/ Christopher Lindop, by Allein Sabel, his attorney 02/12/2019 in fact \*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Restricted Stock Units convert into ordinary shares on a one-for-one basis.
- (2) 83,334 options have vested and remain exercisable, 41,666 options will vest on February 9, 2020.
- On February 9, 2017, the Reporting Person was granted 175,000 Restricted Stock Units, of which 116,666 have vested and have been exercised. The remaining 58,334 Restricted Stock Units will vest on February 9, 2020.
- On May 24, 2018, the Reporting Person was granted 30,812 Restricted Stock Units, vesting in three equal annual installments beginning May 24, 2019.

Reporting Owners 2

### Edgar Filing: LINDOP CHRISTOPHER J - Form 4

On May 23, 2018, the Reporting Person was granted 11,175 restricted stock units that would vest on May 24, 2019 if certain business milestones were achieved on or before December 31, 2018. The business milestones were reached on December 12, 2018, and accordingly the restricted stock units will vest on May 24, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.