

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

February 28, 2013

PAGE 1
000 B000000 12/31/2012
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 U
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 EAST MICHIGAN STREET
002 B000000 MILWAUKEE
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
PAGE 2
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212

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015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MERLIN ADVISORS, INC.
020 C000001 54
020 A000002 RAYMOND JAMES & ASSOCIATES, INC.
020 C000002 38
020 A000003 CREDIT RESEARCH & TRADING, LLC
020 C000003 20
020 A000004 CANTOR FITZGERALD & CO.
020 C000004 9
020 A000005 WALL STREET MANAGEMENT, INC.
020 C000005 8
020 A000006 NATIONAL FINANCIAL SERVICE CORP.
020 C000006 7
020 A000007 WALLACH BETH
020 C000007 7
020 A000008 CANACCORD GENUITY
020 C000008 6
020 A000009 LAZARD CAPITAL MARKETS, LLC
020 C000009 3
020 A000010 LEGENCD CAPITAL MANAGEMENT
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021 000000 159
022 A000001 MAXIM FINANCIAL CORP.
022 C000001 8722
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022 A000002 DEUTSCHE BANK TRUST CO.
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022 A000003 LAZARD CAPITAL MARKETS LLC.
022 C000003 4091
022 D000003 676
022 A000004 US BANK N.A.
022 C000004 0
022 D000004 4453
022 A000005 PRINCERIDGE
022 C000005 3218
022 D000005 0
022 A000006 AEGIS CAPITAL CORP.
022 C000006 0
022 D000006 2008
022 A000007 R.B.C. DOMINION SECURITIES, INC.
022 C000007 1759
PAGE 3
022 D000007 0
022 A000008 SECONDMARKET, INC.
022 C000008 1159
022 D000008 0
022 A000009 CHARDAN CAPITAL MARKETS, LLC
022 C000009 1091
022 D000009 0
022 A000010 LADENBURG THALMAN & CO.
022 C000010 1032
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023 C000000 29848
023 D000000 7137
024 000000 N
025 D000001 0
025 D000002 0
025 D000003 0

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042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 1.000
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048 B010000 0
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048 C010000 0
048 C020000 0.000
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048 F020000 0.000
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048 G020000 0.000
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048 H020000 0.000
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054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 N
057 000000 N
058 A000000 N
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060 A000000 Y
060 B000000 Y
061 000000 0
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062 C000000 0.0

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062 R000000 0.0

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066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
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070 O020000 Y
070 P010000 Y
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070 Q010000 Y

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070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 110963
 071 B000000 74942

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071 C000000 120396
 071 D000000 62
 072 A000000 12
 072 B000000 387
 072 C000000 4546
 072 D000000 0
 072 E000000 0
 072 F000000 1332
 072 G000000 94
 072 H000000 47
 072 I000000 15
 072 J000000 13
 072 K000000 0
 072 L000000 29
 072 M000000 153
 072 N000000 41
 072 O000000 0
 072 P000000 0
 072 Q000000 38
 072 R000000 46
 072 S000000 205
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 104
 072 X000000 2117
 072 Y000000 0
 072 Z000000 2816
 072AA000000 5815
 072BB000000 0
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 072DD020000 496
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 073 B000000 0.7200
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 074 D000000 762
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 074 F000000 35617
 074 G000000 0
 074 H000000 0
 074 I000000 114685
 074 J000000 608
 074 K000000 0
 074 L000000 1048

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074 M000000 30
 074 N000000 162226
 074 O000000 364
 074 P000000 133

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| | | |
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| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
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| 074 | R040000 | 44470 |
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| 074 | T000000 | 117259 |
| 074 | U010000 | 6810 |
| 074 | U020000 | 0 |
| 074 | V010000 | 17.22 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 193 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 116679 |
| 076 | 000000 | 15.01 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | Y |
| 077 | J000000 | Y |
| 077 | Q020000 | Y |
| 078 | 000000 | N |
| 080 | A000000 | CHUBB GROUP OF INSURANCE COMPANIES |
| 080 | C000000 | 525 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | Y |
| 082 | B000000 | 25 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 133 |
| 086 | A020000 | 2011 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 749 |
| 086 | C020000 | 37454 |
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| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |

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| | | |
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| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 84741T104 |
| 087 | A030000 | SPE |
| 087 | B010000 | PREFERRED STOCK |
| 087 | B020000 | 84741T203 |
| 087 | B030000 | SPE PFD |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | Y |
| SIGNATURE | ANDREW DAKOS | |
| TITLE | PRESIDENT | |