

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-A

August 29, 2012

PAGE 1
000 A000000 06/30/2012
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 EAST MICHIGAN STREET
002 B000000 MILWAUKEE
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
PAGE 2
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

015 E010001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 MERLIN ADVISORS, INC.
 020 C000001 25
 020 A000002 RAYMOND JAMES & ASSOCIATES, INC.
 020 C000002 13
 020 A000003 NATIONAL FINANCIAL SERVICE CORP.
 020 C000003 7
 020 A000004 CANACCORD
 020 C000004 6
 020 A000005 WALL STREET MANAGEMENT, INC.
 020 C000005 5
 020 A000006 LAZARD CAPITAL MARKETS LLC
 020 C000006 2
 020 A000007 CREDIT RESEARCH & TRADING, INC.
 020 C000007 1
 020 A000008 PENSON FINANCIAL SERVICES, INC.
 020 C000008 1
 020 A000009 LEGEND CAPITAL MANAGEMENT
 020 C000009 1
 020 A000010 KNIGHT EQUITY MARKETS
 020 C000010 1
 021 000000 62
 022 A000001 US BANK N.A.
 022 C000001 0
 022 D000001 4453
 022 A000002 SECONDMARKET, INC.
 022 C000002 1159
 022 D000002 0
 022 A000003 PRINCERIDGE
 022 C000003 1136
 022 D000003 0
 022 A000004 EARLY BIRD CAPITAL, INC.
 022 C000004 950
 022 D000004 0
 022 A000005 DEUTSCHE BANK TRUST CO.
 022 C000005 484
 022 D000005 0
 022 A000006 MAXIM FINANCIAL CORP.
 022 C000006 343
 022 D000006 0
 022 A000007 BROADCAST CAPITAL
 022 C000007 260
 PAGE 3
 022 D000007 0
 022 A000008 LAZARD CAPITAL MARKETS
 022 C000008 82
 022 D000008 0
 022 A000009 SIDOTI & COMAPNY
 022 C000009 46
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 4460
 023 D000000 4453
 024 000000 N
 025 D000001 0
 025 D000002 0
 025 D000003 0
 025 D000004 0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
	PAGE 4	
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	1.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
	PAGE	5
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	Y
055	A000000	N
055	B000000	N
056	000000	N
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0

PAGE 6

063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y
070 P020000 N
070 Q010000 Y
070 Q020000 N

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

070 R010000 Y
070 R020000 N
071 A000000 64634
071 B000000 60491
071 C000000 109805

PAGE 7

071 D000000 55
072 A000000 6
072 B000000 210
072 C000000 1589
072 D000000 0
072 E000000 0
072 F000000 570
072 G000000 46
072 H000000 23
072 I000000 6
072 J000000 7
072 K000000 0
072 L000000 15
072 M000000 66
072 N000000 28
072 O000000 0
072 P000000 0
072 Q000000 18
072 R000000 23
072 S000000 102
072 T000000 0
072 U000000 0
072 V000000 0
072 W000000 14
072 X000000 918
072 Y000000 0
072 Z000000 881
072AA000000 3754
072BB000000 541
072CC010000 2444
072CC020000 0
072DD010000 0
072DD020000 0
072EE000000 0
073 A010000 0.0000
073 A020000 0.0000
073 B000000 0.0000
073 C000000 0.0000
074 A000000 6
074 B000000 0
074 C000000 7395
074 D000000 1008
074 E000000 0
074 F000000 36527
074 G000000 0
074 H000000 0
074 I000000 71000
074 J000000 423
074 K000000 0
074 L000000 48
074 M000000 9

PAGE 8

074 N000000 116416
074 O000000 821
074 P000000 0
074 Q000000 0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-A

074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	183
074	S000000	0
074	T000000	115412
074	U010000	6810
074	U020000	0
074	V010000	16.95
074	V020000	0.00
074	W000000	0.0000
074	X000000	202
074	Y000000	0
075	A000000	0
075	B000000	114593
076	000000	15.11
077	A000000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	133
086	A020000	2011
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	84741T104
087	A030000	SPE
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	ANDREW DAKOS	
TITLE	PRESIDENT	