

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

SPECIAL OPPORTUNITIES FUND, INC.

Form NSAR-B

February 29, 2012

PAGE 1
000 B000000 12/31/2011
000 C000000 0000897802
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SPECIAL OPPORTUNITIES FUND, INC.
001 B000000 811-07528
001 C000000 8776070414
002 A000000 615 EAST MICHIGAN STREET
002 B000000 MILWAUKEE
002 C000000 WI
002 D010000 53202
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BROOKLYN CAPITAL MANAGEMENT, LLC
008 B000001 A
008 C000001 801-70596
008 D010001 SADDLE BROOK
008 D020001 NJ
008 D030001 07663
010 A000001 U.S. BANCORP FUND SERVICES, LLC
010 B000001 85-11357
010 C010001 MILWAUKEE
010 C020001 WI
010 C030001 53202
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
PAGE 2
013 B030001 19103
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 MILWAUKEE
015 C020001 WI
015 C030001 53212

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MERLIN ADVISORS, INC.
020 C000001 51
020 A000002 CANACCORD
020 C000002 18
020 A000003 RAYMOND JAMES & ASSOCIATES, INC.
020 C000003 15
020 A000004 WALL STREET MANAGEMENT, INC.
020 C000004 10
020 A000005 CREDIT RESEARCH & TRADING LLC
020 C000005 3
020 A000006 KERN CAPITAL MANAGEMENT LLC
020 C000006 3
020 A000007 LAZARD CAPITAL MARKETS LLC
020 C000007 3
020 A000008 CANTOR FITZGERALD & COMPANY
020 C000008 2
020 A000009 KERLIN SECURITIES, INC.
020 C000009 2
020 A000010 I-BANKERS SECURITIES, INC.
020 C000010 1
021 000000 109
022 A000001 CREDIT RESEARCH & TRADING LLC
022 C000001 1909
022 D000001 4234
022 A000002 LAZARD CAPITAL MARKETS LLC
022 C000002 3823
022 D000002 0
022 A000003 WELLS FARGO BANK N.A.
022 C000003 2826
022 D000003 0
022 A000004 MAXIM FINANCIAL CORPORATION
022 C000004 2669
022 D000004 0
022 A000005 PERSHING LLC
022 C000005 2425
022 D000005 0
022 A000006 COHEN & COMPANY
022 C000006 1756
022 D000006 0
022 A000007 LADENBURG THALMAN & COMPANY
022 C000007 1738
PAGE 3
022 D000007 0
022 A000008 CITIGROUP GLOBAL MARKETS, INC.
022 C000008 1569
022 D000008 0
022 A000009 RODMAN & RENSHAW
022 C000009 1128
022 D000009 0
022 A000010 EARLY BIRD CAPITAL, INC.
022 C000010 814
022 D000010 0
023 C000000 22235
023 D000000 4234
024 000000 N
025 D000001 0
025 D000002 0
025 D000003 0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

025	D000004	0
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
	PAGE	4
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 1.000
048 A010000 0
048 A020000 0.000
048 B010000 0
048 B020000 0.000
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
PAGE 5
048 J010000 0
048 J020000 0.000
048 K010000 0
048 K020000 0.000
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 N
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0

PAGE 6

063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y
070 P020000 N
070 Q010000 Y

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 64634
 071 B000000 60491

PAGE 7

071 C000000 109805
 071 D000000 55
 072 A000000 12
 072 B000000 134
 072 C000000 3008
 072 D000000 0
 072 E000000 0
 072 F000000 1113
 072 G000000 91
 072 H000000 30
 072 I000000 13
 072 J000000 14
 072 K000000 0
 072 L000000 25
 072 M000000 114
 072 N000000 25
 072 O000000 0
 072 P000000 0
 072 Q000000 36
 072 R000000 52
 072 S000000 132
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 37
 072 X000000 1682
 072 Y000000 0
 072 Z000000 1460
 072AA000000 7078
 072BB000000 1414
 072CC010000 0
 072CC020000 6317
 072DD010000 1761
 072DD020000 0
 072EE000000 1814
 073 A010000 0.2637
 073 A020000 0.0000
 073 B000000 0.2717
 073 C000000 0.0000
 074 A000000 623
 074 B000000 0
 074 C000000 3852
 074 D000000 5385
 074 E000000 14149
 074 F000000 29751
 074 G000000 0
 074 H000000 0
 074 I000000 58235
 074 J000000 36
 074 K000000 0
 074 L000000 235

PAGE 8

074 M000000 19
 074 N000000 112285
 074 O000000 1646
 074 P000000 92

Edgar Filing: SPECIAL OPPORTUNITIES FUND, INC. - Form NSAR-B

074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	3683
074	S000000	0
074	T000000	106864
074	U010000	6676
074	U020000	0
074	V010000	16.01
074	V020000	0.00
074	W000000	0.0000
074	X000000	206
074	Y000000	0
075	A000000	0
075	B000000	111334
076	000000	14.50
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	Q020000	Y
078	000000	N
080	A000000	FEDERAL INSURANCE COMPANY
080	C000000	525000
081	A000000	N
081	B000000	0
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
	PAGE	9
087	A020000	84741T104
087	A030000	SPE
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	ANDREW DAKOS	
TITLE	PRESIDENT	