

DEBOER SIDNEY B
Form 4
April 03, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LITHIA HOLDING CO LLC

2. Issuer Name and Ticker or Trading Symbol
LITHIA MOTORS INC [LAD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
150 N. BARTLETT STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
04/01/2013

____ Director 10% Owner
____ Officer (give title below) ____ Other (specify below)

MEDFORD, OR 97501

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or Price (D) | | |
| Class A Common Stock | 04/01/2013 | | C | | 1,222 A \$ 0 | 1,222 | D |
| Class A Common Stock | 04/01/2013 | | S | | 727 ⁽¹⁾ D \$ 47.5 | 495 | D |
| Class A Common Stock | 04/01/2013 | | S | | 100 ⁽¹⁾ D \$ 47.53 | 395 | D |
| Class A Common Stock | 04/01/2013 | | S | | 195 ⁽¹⁾ D \$ 47.55 | 200 | D |

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| | | | | | | | |
|----------------------------|------------|---|----------------|---|-------------|-------|---|
| Class A Common Stock | 04/01/2013 | S | 100 <u>(1)</u> | D | \$ 47.58 | 100 | D |
| Class A Common Stock | 04/01/2013 | S | 100 <u>(1)</u> | D | \$ 47.6 | 0 | D |
| Class A Common Stock | 04/02/2013 | C | 1,200 | A | \$ 0 | 1,200 | D |
| Class A Common Stock | 04/02/2013 | S | 900 <u>(1)</u> | D | \$ 47.5 | 300 | D |
| Class A Common Stock | 04/02/2013 | S | 100 <u>(1)</u> | D | \$ 47.51 | 200 | D |
| Class A Common Stock | 04/02/2013 | S | 200 <u>(1)</u> | D | \$ 47.52 | 0 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 3) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|----|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Class B Common Stock | <u>(2)</u> | 04/01/2013 | | C | 1,222 | <u>(2)</u> | <u>(2)</u> | Class A Common Stock | 1,222 | \$ |
| Class B Common Stock | <u>(2)</u> | 04/02/2013 | | C | 1,200 | <u>(2)</u> | <u>(2)</u> | Class A Common Stock | 1,200 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LITHIA HOLDING CO LLC 150 N. BARTLETT STREET MEDFORD, OR 97501 | | X | | |
| DEBOER SIDNEY B 150 N. BARTLETT STREET MEDFORD, OR 97501 | X | | Executive Chairman | |

Signatures

Larissa McAlister, Attorney-in-Fact for Lithia Holding Company, L.L.C. and Sidney B. DeBoer

04/03/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold pursuant to a pre-established Rule 10b5-1 plan.
- (2) Class B Common Stock is immediately convertible on a one-for-one basis into Class A Common Stock and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.