

APPLERA CORP
Form 4
February 07, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
SMITH ORIN R

(Last) (First) (Middle)

APPLERA CORPORATION, 301
MERRITT 7

(Street)

NORWALK, CT 06851-1070

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
APPLERA CORP [ABI/CRA]

3. Date of Earliest Transaction
(Month/Day/Year)

02/05/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Applied Biosystems Group Common Stock Units					31,634.54	D	
Applied Biosystems Group Common Stock	02/05/2007		M	600	A \$ 16.0728	4,600	D
Applied Biosystems	02/05/2007		S ⁽¹⁾	9	D \$ 33.8	4,591	D

Group
Common
Stock

Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	8	D	\$ 33.78	4,583	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	4	D	\$ 33.77	4,579	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	4	D	\$ 33.75	4,575	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	17	D	\$ 33.74	4,558	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	17	D	\$ 33.73	4,541	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	4	D	\$ 33.71	4,537	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	9	D	\$ 33.7	4,528	D
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Applied
Biosystems

Group Common Stock	02/05/2007	<u>S⁽¹⁾</u>	8	D	\$ 33.69	4,520	D
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Applied
Biosystems
Group

	02/05/2007	<u>S⁽¹⁾</u>	4	D	\$ 33.67	4,516	D
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Common Stock							
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	4	D	\$ 33.66	4,512	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	8	D	\$ 33.64	4,504	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	4	D	\$ 33.63	4,500	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	5	D	\$ 33.62	4,495	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	23	D	\$ 33.61	4,472	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	16	D	\$ 33.6	4,456	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	15	D	\$ 33.59	4,441	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	21	D	\$ 33.58	4,420	D
Applied Biosystems Group Common	02/05/2007	<u>S(1)</u>	25	D	\$ 33.57	4,395	D

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Stock							
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	17	D	\$ 33.56	4,378	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	17	D	\$ 33.55	4,361	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	26	D	\$ 33.54	4,335	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	23	D	\$ 33.53	4,312	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	40	D	\$ 33.52	4,272	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	29	D	\$ 33.51	4,243	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	37	D	\$ 33.5	4,206	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	86	D	\$ 33.49	4,120	D
Applied Biosystems Group Common Stock	02/05/2007	<u>S(1)</u>	19	D	\$ 33.48	4,101	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Applied Biosystems Group Director Stock Options-Right to Buy	\$ 16.0728	02/05/2007		M	600	10/20/1999 10/16/2007	Applied Biosystems Group Common Stock	600
Celera Group Director Stock Options-Right to Buy	\$ 6.5525	02/05/2007		M	188	10/20/1999 10/16/2007	Celera Group Common Stock	188

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SMITH ORIN R APPLERA CORPORATION 301 MERRITT 7 NORWALK, CT 06851-1070	X			

Signatures

/s/ Thomas P. Livingston, Attorney-in-Fact for Orin R.
Smith

02/07/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects sale by independent third-party administrator under the issuer's Insider Diversification Program.

Remarks:

This is the first of three forms being filed by the reporting person on February 7, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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