

Edgar Filing: ALLEGHANY CORP /DE - Form 4

ALLEGHANY CORP /DE

Form 4

September 06, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Hart, Robert M.
 Alleghany Corporation
 375 Park Avenue
 New York, NY 10152
 USA

2. Issuer Name and Ticker or Trading Symbol

Alleghany Corporation
 Y

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

August 16, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)

Senior Vice President, General Counsel and Secretary

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|--|-----|------------|--|
| Common Stock | 2/01/01 | A | 5,000 | A | | |
| | 3/20/01 | A(1) | 3,740 | A | \$204.90 | |
| | 3/20/01 | D(1) | 1,870 | D | \$204.90 | |
| | 8/16/01 | S | 1,100 | D | \$222.1291 | 16,658 (2) |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion | 3. Transaction | 4. | 5. Number of Derivative Securities | 6. Date Exercisable and | 7. Title and Amount of Underlying | 8. Percentage of |
|---------------------------------|---------------|----------------|----|------------------------------------|-------------------------|-----------------------------------|------------------|
|---------------------------------|---------------|----------------|----|------------------------------------|-------------------------|-----------------------------------|------------------|

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| For Exercise | Price of | Derivative | Security | Date | Code | V | Amount | Expiration Date (Month/Day/Year) | Securities Title and Number of Shares | Value |
|--------------|----------|------------|----------|------|------|---|--------|----------------------------------|---------------------------------------|-------|
| | | | | | | | | | | |

Explanation of Responses:

(1) Settlement of 3,740 performance shares for 1,870 shares of Common Stock and \$383,163.00 in cash.

(2) Includes shares of Common Stock paid by Alleghany Corporation as a stock dividend in April 2001.

SIGNATURE OF REPORTING PERSON

/s/ Robert M. Hart

DATE

September 6, 2001