## Edgar Filing: ZEMLYAK JAMES M - Form 4

ZEMLYAK Form 4 June 19, 20												
FORM	ЛЛ								PPROVAL			
	UNITED	STATES			AND EXCHA , D.C. 20549		COMMISSION	N OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. SECURITIES									January 31, 2005 average			
Form 4 Form 5 obligation may corr	Form 4 or Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5											
(Print or Type	Responses)											
1. Name and ZEMLYAI	Person <u>*</u>	2. Issuer Name <b>and</b> Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last)	(First) (A	Middle)	3. Date of Earliest Transaction									
ONE FINA BROADW		-10/1.0/2010 -				Director 10% Owner X_Officer (give title Other (specify below) CHIEF FIN'L & ADMIN OFFCR						
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				<ul><li>6. Individual or Joint/Group Filing(Check</li><li>Applicable Line)</li><li>_X_ Form filed by One Reporting Person</li></ul>					
ST. LOUIS	S, MO 63102-2102	2					Form filed by Person	More than One R	eporting			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative Secu	irities Acq	uired, Disposed o	of, or Beneficia	lly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution 1 any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securities onAcquired (A) of Disposed of (D (Instr. 3, 4 and (A)	or S D) B 15) C F R	ecurities Beneficially Dwned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Transaction(s)

(Instr. 3 and 4)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date	Underlying Securities	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

or

Code V Amount (D) Price

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year	) (Instr. 8)	Sec Acc (A) Dis of ( (Ins	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5)
			Code V	′ (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	06/15/2018	А	66		<u>(1)</u>	<u>(1)</u>	Common Stock	66	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
, o	Director	10% Owner	Officer	Other		
ZEMLYAK JAMES M ONE FINANCIAL PLAZA 501 N. BROADWAY ST. LOUIS, MO 63102-2102			CHIEF FIN'L & ADMIN OFFCR			
Signatures						
JAMES M ZEMLYAK 06	/19/2018					

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Phantom stock units acquired pursuant to dividend equivalent rights in respect of previously reported phantom stock units (the "Dividend Equivalent PSUs"). The Dividend Equivalent PSUs accrued in connect with a cash dividend paid by the issuer on the issuer's common

(1) Equivalent 1903 ). The Dividend Equivalent 1903 actived in connect with a cash dividend paid by the issuer of the issuer scon stock. The Dividend Equivalent PSUs will vest proportionately with the phantom stock units to which they relate. The Dividend Equivalent PSUs convert to the issuer's common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.