## Edgar Filing: SLINEY DAVID D - Form 4/A

SUNEY DAVID D

Form 4/A April 09, 201	2									
• ·	FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL		
<b>CURIVI 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or				GES IN I SECUR		CIA	LOW	NERSHIP OF	Expires: Estimated a burden hou response	irs per
Form 5 obligation may conti <i>See</i> Instru 1(b).	nue. Section 17	(a) of the		ility Hold	ling Com	pany	Act o	ge Act of 1934, if 1935 or Sectio 40	'n	
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> SLINEY DAVID D			2. Issuer Name <b>and</b> Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]					5. Relationship of Reporting Person(s) to Issuer		
								(Check all applicable)		
(Month/			(Month/Da	Date of Earliest Transaction Ionth/Day/Year) /19/2011				Director 10% Owner X Officer (give title Other (specify below) below) Dir Strategic Planning & Tech		
				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check		
			Filed(Mont 01/20/20	/Ionth/Day/Year) /2011				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table	I - Non-D	erivative S	lecuri	ties A c	quired, Disposed o	f or Beneficia	llv Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Executi any		3. Transactic Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3, Amount	ties l (A) o l of (D 4 and (A) or	or ))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/19/2011			М	9,202	A	\$0	63,851	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities	8. D Se (I:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	01/19/2011		М	9,202	02/17/2009 <u>(1)</u>	(2)	Common Stock	9,202	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
SLINEY DAVID D 49 LOG CABIN DRIVE ST. LOUIS, MO 63124			Dir Strategic Planning & Tech				
Signatures							

DAVID D SLINEY	04/09/2012		
<u>**</u> Signature of Reporting Person	Date		

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom Stock Units vest in 33 1/3% increments on 1/1/03, 1/1/04 and 1/1/05.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.