Edgar Filing: STIFEL FINANCIAL CORP - Form 4

STIFEL FINAN Form 4								
February 15, 20							OMB A	PPROVAL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				CIAL OWNERSHIP OF Estima			ted average hours per	
Form 5 obligations may continue <i>See</i> Instruction 1(b).	e. Section 17(a)	uant to Section 1) of the Public Ut 30(h) of the In	tility Hold	ing Com	pany Act o	of 1935 or Section		
(Print or Type Resp	ponses)							
1. Name and Addr HIMELFARB	Symbol	2. Issuer Name and Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mi		Earliest Tra			(Chee	ck all applicable	e)
116 TAPLOW		(Month/Day/Year) 02/13/2007			X Director X Officer (giv below)		b Owner er (specify	
		4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
BALTO, MD 2	21212	Filed(Mor	nth/Day/Year)			Applicable Line) _X_ Form filed by Form filed by I Person		
(City)	(State) (Z	Zip) Tabl	e I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned
	2. Transaction Date Month/Day/Year)		3. Transactio Code (Instr. 8)	4. Securit onAcquired Disposed	ties (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial
Common Stock			Code V	mount		90,000	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Date	7. Title and Underlying (Instr. 3 and	Securities	8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	02/13/2007		А	2,569	(1)	(2)	Common Stock	2,569	\$
Phantom Stock Units	\$ 0	02/13/2007		А	642	(3)	(2)	Common Stock	642	\$

Edgar Filing: STIFEL FINANCIAL CORP - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HIMELFARB RICHARD J 116 TAPLOW ROAD BALTO, MD 21212	Х		Director			
Signatures						

J

RICHARD	
HIMELFARB	02/15/2007
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest in 33 1/3% increments over a three year period.
- (2) No expiration date for these Units.
- (3) Units vest 100% three years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.