## Edgar Filing: STIFEL FINANCIAL CORP - Form 4

STIFEL FI Form 4 January 04	NANCIAL CORP , 2006	,										
FOR	M 4 UNITED	STATES S	SECUI	RITIES /	AN	DEX	СНА	NGF	COMMISSIO	••••=•	PPROVA	L
Check	this box	5 millo		shington						N OMB Number:	3235-	
if no lo subject Section Form 4	to STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: Estimated burden hou response.	average urs per	0.5
	Filed pul	(a) of the Pu	ublic U		ldir	ng Con	npany	/ Act	nge Act of 1934, of 1935 or Secti 940	,		
(Print or Type	e Responses)											
1. Name and Address of Reporting Person <u></u> HIMELFARB RICHARD J			2. Issuer Name <b>and</b> Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]					-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (	Middle) 3	3. Date of Earliest Transaction				-	(Ch	eck all applicable)			
116 TAPLOW ROAD			(Month/Day/Year) 01/02/2006				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Director					
	4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>								
BALTO, I	MD 21212								Person	whole than one is	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-	Der	ivative	Secur	ities A	cquired, Disposed	of, or Beneficia	ally Owned	d
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	onA D (Ii	isposed nstr. 3, 4	(A) or of (D)	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	ıl
Domin Jaw D	amout on a commute 1'	for on-h1	o of						u in dina atla			
Keminder: R	eport on a separate line	e for each clas		urities bene	efici	Perso inform requir	ns wh nation ed to lys a d	no res cont respo	prindurectly. Spond to the colle ained in this form and unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

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(Instr. 3)	Price of Derivative Security	Derivative		(Instr. 8	( (	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				(Inst		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	01/02/2006		А		20,000		(1)	(2)	Common Stock	20,000	9

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships							
1 0	Director	10% Owner	Officer	Other					
HIMELFARB RICHARD J 116 TAPLOW ROAD BALTO, MD 21212	X		Director						
Signatures									
RICHARD HIMELFARB	01/03/200	6							

\*\*Signature of Reporting Date Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom Stock Units vest in 33 1/3% increments on 1/2/07, 1/2/08 and 1/2/09.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.