Edgar Filing: STIFEL FINANCIAL CORP - Form 4

STIFEL FIN Form 4 January 04, 2	ANCIAL CORP								
FORM			CECU					-	PPROVAL
Check th	UNITED	STATES		RITIES A			E COMMISSION	Number:	3235-0287 January 31,
if no long subject to Section 1 Form 4 o	ser STATEN .6.	MENT OI	F CHAN	NGES IN SECUI			WNERSHIP OF	Expires: Estimated burden hou response	2005 average urs per
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section 17((a) of the I	Public U	Itility Hol	lding Co		ange Act of 1934, et of 1935 or Sectio 1940	n	
(Print or Type I	Responses)								
1. Name and Address of Reporting Person <u>*</u> SULLIVAN JOSEPH A			2. Issuer Name and Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)		of Earliest T			(Cheo	ck all applicabl	e)
2 HILLSYD	DE COURT		(Month/) 01/02/2	Day/Year) 2006			X_ Director X_ Officer (giv below)		% Owner her (specify
			4. If Amendment, Date Original Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 		
COCKEYS	VILLE, MD 210	30					Person	More than One R	eporting
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	ve Securities	Acquired, Disposed o	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactic Code (Instr. 8) Code V	Dispose (Instr. 3	ed (A) or ed of (D) (A) 4 and 5) (A) or	Securities I Beneficially (Owned (Following (Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Rep	ort on a separate line	e for each cla	ass of sec	urities bene	ficially o	wned directly	or indirectly.		
	,				Pers info requ disp	sons who re rmation cor uired to resp	espond to the collect ntained in this form pond unless the for ently valid OMB cor	are not m	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

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(Instr. 3)	Price of (Mo Derivative Security		(Month/Day/Year)	(Instr. 8	or Dispos (D)	(Instr. 3, 4,			(Ins		
				Code	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	01/02/2006		А	20,000		(1)	(2)	Common Stock	20,000	9

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SULLIVAN JOSEPH A 2 HILLSYDE COURT COCKEYSVILLE, MD 21030	Х		Director			

Signatures

JOSEPH A.	01/04/2006
SULLIVAN	Data
**Signature of Reporting	Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom Stock Units vest in 33 1/3% increments on 1/2/07, 1/2/08 and 1/2/09.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.