

WANSEM PAUL J VAN DER

Form 4

November 27, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WANSEM PAUL J VAN DER

(Last) (First) (Middle)

C/O AMTECH SYSTEMS,
INC., 131 SOUTH CLARK DRIVE

(Street)

TEMPE, AZ 85281

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMTECH SYSTEMS INC [ASYS]

3. Date of Earliest Transaction
(Month/Day/Year)
11/22/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price (A) or (D) | | |
| Common Stock | 11/22/2017 | | S | | 2,157 | D | |
| | | | | | \$ 12.98 | | |
| Common Stock | 11/24/2017 | | S | | 50,662 | D | |
| | | | | | \$ 13.04 | | |
| | | | | | (1) | | |
| Common Stock | 11/22/2017 | | S | | 807 | D | By Wife (2) |
| | | | | | \$ 12.98 | | |
| Common Stock | 11/24/2017 | | S | | 6,762 | D | By Wife (2) |
| | | | | | \$ 13.05 | | |
| Common Stock | 11/22/2017 | | S | | 980 | D | By Family Trust (3) |
| | | | | | \$ 12.98 | | |

| | | | | | | | | |
|--------------|------------|---|-------|---|-------------------------|--------|---|---|
| Common Stock | 11/24/2017 | S | 2,970 | D | \$ 12.97 | 22,706 | I | By Family Trust ⁽³⁾ |
| Common Stock | 11/22/2017 | S | 5,692 | D | \$ 13.05 ₍₄₎ | 14,137 | I | By Charitable Foundation ₍₅₎ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

Relationships

Reporting Owner Name / Address

Director 10% Owner Officer Other

WANSEM PAUL J VAN DER
C/O AMTECH SYSTEMS, INC.,
131 SOUTH CLARK DRIVE
TEMPE, AZ 85281

X

Signatures

/s/ Robert T. Hass, on behalf of Paul J. van der Wansem by Power of Attorney

11/27/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is an average price. These shares were sold in multiple transactions at prices ranging from \$12.99 to \$13.09, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(2) Mr. van der Wansem disclaims beneficial ownership in shares held by his wife.

(3) Mr. van der Wansem disclaims any beneficial ownership in shares held by the 1981 Van der Wansem Family Trust.

(4) The price reported in Column 4 is an average price. These shares were sold in multiple transactions at prices ranging from \$13.00 to \$13.09, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(5) Mr. van der Wansem disclaims any beneficial ownership in shares held by the Charitable Foundation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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