

NEWPARK RESOURCES INC
Form 4
April 06, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith Bruce Campbell

2. Issuer Name and Ticker or Trading Symbol
NEWPARK RESOURCES INC
[NR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
9320 LAKESIDE
BOULEVARD, SUITE 100

3. Date of Earliest Transaction (Month/Day/Year)
04/05/2017

____ Director
 Officer (give title below) _____ 10% Owner
_____ Other (specify below)
Exec Vice President &

(Street)
THE WOODLANDS, TX 77381

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	04/05/2017		M		7,932 A \$ 3.31	243,716	D
Common Stock	04/05/2017		S		7,932 (1) D 8.0875 (2)	235,784	D
Common Stock	04/05/2017		M		1,500 A \$ 7.82	237,284	D
Common Stock	04/05/2017		S		1,500 (1) D 8.0875 (2)	235,784	D

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Common Stock 04/05/2017 S 10,850₍₁₎ D \$ 8.0875₍₂₎ 224,934 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 3.31	04/05/2017		M	7,932	<u>(3)</u> 06/10/2019	Common Stock	7,932
Non-Qualified Stock Option (right to buy)	\$ 7.82	04/05/2017		M	1,500	<u>(4)</u> 06/11/2017	Common Stock	1,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Smith Bruce Campbell 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381			Exec Vice President &	

Signatures

By: Jennifer Wilson For: Bruce C. Smith

04/06/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The securities were sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person.

(2) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.00 to \$8.25, inclusive.

(3) The options become exercisable in four annual installments beginning on the first anniversary of the date of grant.

(4) The options become exercisable in increments of one-third of the shares on June 1 of each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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