

ROCHE PATRICK J
Form 4
November 16, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ROCHE PATRICK J

(Last) (First) (Middle)

SENECA ST & JAMISOND RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/14/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Class A Common					411	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: ROCHE PATRICK J - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SAR <u>(1)</u>	\$ 43.42					<u>(2)</u>		05/20/2018		Class A Common	2,000
SAR <u>(1)</u>	\$ 35.12					<u>(2)</u>		10/31/2018		Class A Common	4,000
SAR <u>(1)</u>	\$ 26.66					<u>(2)</u>		12/01/2019		Class A Common	3,000
SAR <u>(1)</u>	\$ 36.86					<u>(2)</u>		11/30/2020		Class A Common	4,000
SAR <u>(1)</u>	\$ 41.82					<u>(2)</u>		11/30/2021		Class A Common	4,000
SAR <u>(1)</u>	\$ 36.41					<u>(2)</u>		11/27/2022		Class A Common	20,500
SAR <u>(1)</u>	\$ 61.69					<u>(2)</u>		11/11/2023		Class A Common	10,000
SAR <u>(1)</u>	\$ 74.38					<u>(2)</u>		11/11/2024		Class A Common	10,000
SAR <u>(3)</u>	\$ 63.04					<u>(2)</u>		11/17/2025		Class A Common	3,333
SAR <u>(3)</u>	\$ 65.9					<u>(2)</u>		11/17/2025		Class B Common	6,667
SAR <u>(3)</u>	\$ 71.648					<u>(2)</u>		11/15/2026		Class B Common	10,000
SAR <u>(3)</u>	\$ 82.31	11/14/2017		A	6,181	<u>(2)</u>		11/14/2027		Class B Common	6,181

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROCHE PATRICK J SENECA ST & JAMISOND RD EAST AURORA, NY 14052			Vice President	

Signatures

Timothy P. Balkin, as Power of Attorney for Patrick J.
Roche

11/16/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights (SAR) granted under the 2008 Stock Option Plan.
 - (2) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
 - (3) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.