

LeClaire Brian P.
Form 4
May 08, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LeClaire Brian P.

(Last) (First) (Middle)

HUMANA INC., 500 WEST MAIN STREET

(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HUMANA INC [HUM]

3. Date of Earliest Transaction (Month/Day/Year)
05/06/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SVP & Chief Service & Info Off

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Humana Common	05/06/2013		M		23,706	A	\$ 45.975 28,280
Humana Common	05/06/2013		M		5,818	A	\$ 41.83 34,098
Humana Common	05/06/2013		F		5,245	D	\$ 76.285 28,853
Humana Common	05/06/2013		S		19,000	D	\$ 76.1171 9,853
Humana Common							132
						I	See Footnote (1)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Options ⁽²⁾	\$ 45.975	05/06/2013		M	23,706	⁽³⁾ 04/01/2015	Humana Common	23,706
Options ⁽²⁾	\$ 41.83	05/06/2013		M	5,818	⁽⁴⁾ 02/19/2016	Humana Common	5,818
Options ⁽²⁾	\$ 72.84					⁽⁵⁾ 02/20/2020	Humana Common	6,760
Restricted Stock Units ⁽⁶⁾	⁽⁸⁾					⁽⁹⁾ ⁽⁹⁾	Humana Common	6,048
Restricted Stock Units ⁽⁶⁾	⁽⁸⁾					⁽¹⁰⁾ ⁽¹⁰⁾	Humana Common	5,475
Restricted Stock Units ⁽⁶⁾	⁽⁸⁾					⁽¹¹⁾ ⁽¹¹⁾	Humana Common	6,768
Restricted Stock Units ⁽⁷⁾	⁽⁸⁾					⁽¹²⁾ ⁽¹²⁾	Humana Common	2,231
Phantom Stock Units	⁽¹³⁾					⁽¹³⁾ ⁽¹³⁾	Humana Common	61

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

