### Edgar Filing: Ally Financial Inc. - Form 4

Ally Financi	al Inc.									
Form 4 October 28,	2014									
								OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check th	ger		U					Expires:	January 31,	
if no longer subject to Section 16. Form 4 or				URITIES	5			Expires: 200 Estimated average burden hours per response 0.		
Form 5 obligatio may cont <i>See</i> Instr 1(b).	ns Section 17(	a) of the Pu		olding C	ompan	y Act of	e Act of 1934, f 1935 or Section 40	n		
(Print or Type I	Responses)									
			2. Issuer Name <b>and</b> Ticker or Trading Symbol Ally Financial Inc. [ALLY]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N		3. Date of Earliest Transaction				(Chec	k all applicable	:)	
(			(Month/Day/Year) 10/24/2014				Director       10% Owner         Officer (give title       Other (specify below)         below)       below)         CEO & President, Ally Bank			
			4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>			
(City)	(State)	(Zip)	Table I - Nor	-Derivati	ve Secu	rities Aca	uired, Disposed of	or Beneficial	lv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		d 3. Date, if Transac Code	4. Sec ction(A) or (Instr.	urities A	acquired ed of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
			Code	V Amou	or		Transaction(s) (Instr. 3 and 4)			
Common Stock (1)	10/24/2014		М	5,630	) A	\$0	10,630	D		
Common Stock	10/24/2014		F	433	D	\$ 20.56 (2)	10,197	D		
Common Stock	10/24/2014		D	5,197	7 D	\$ 20.56 (2)	5,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

1

#### Edgar Filing: Ally Financial Inc. - Form 4

# required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Numb orDerivati Securitic Acquire Dispose (Instr. 3, 5)	ve es d (A) or d of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (I1
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Stock Units (DSU)	<u>(3)</u>	10/24/2014		A	8,581		(3)	(3)	Common Stock	8,581	
Deferred Stock Units (DSU)	(3)	10/24/2014		М		5,630	(3)	(3)	Common Stock	5,630	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
hepoting of the function of the	Director	10% Owner	Officer	Other				
Yastine Barbara A. 200 RENAISSANCE CENTER DETROIT, MI 48265			CEO & President, Ally Bank					
Signatures								
/s/ Cathy L. Quenneville, attorney-in-fact for Ms.			10/27/2014					

Yastine

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock reported includes (i) shares issued upon the settlement of a portion of DSUs awarded in prior periods, plus (ii) shares issued as a result of the immediate settlement of the portion of DSUs granted, to pay applicable taxes.
- (2) Represents the per share fair market value of the Company's common stock as of October 15, 2014.
- (3) Each Deferred Stock Unit (DSU) represents a vested right to receive the value of one share of the Company's common stock in cash equal to the fair market value of a share of the Company's common stock. A portion of all DSUs granted are immediately settled to pay

### Edgar Filing: Ally Financial Inc. - Form 4

applicable taxes. DSUs do not have an expiration or exercise date or carry a conversion price. May reflect rounding of fractional shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.