

CARRIAGE SERVICES INC

Form SC 13G

February 14, 2002

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
Under the Securities Exchange Act of 1934
Original Filing

(Name of Issuer)
Carriage Services, Inc.

(Title of Class of Securities)
Common Stock, par value \$0.01

(Cusip Number)
143905107

(Date of Event Which Requires Filing of this Statement)
Dec-01

Check the appropriate box to designate the rule pursuant to which this
Schedule is Filed:
[x] Rule 13d-1(b)
[] Rule 13d-1(c)
[] Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's
initial filing on this form with respect to the subject class of securities,
and for any subsequent amendment containing information which would alter the
disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be
deemed to be "filed" for the purpose of Section 18 of the Securities
Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that
section of the Act but shall be subject to all other provision of the Act
(however, see the Notes).

Cusip Number
143905107

1. Name of Reporting Persons.
I.R.S. identification Nos. of above persons (entities only)
Strong Capital Management, Inc. 39-1213042

2. Check the Appropriate Box if a Member of a Group (See Instructions)
(a)
(b)

3. SEC Use Only

4. Citizenship or Place of Organization
Wisconsin

Number of shares beneficially owned by each reporting person with:

5. Sole Voting Power
0

Edgar Filing: CARRIAGE SERVICES INC - Form SC 13G

6. Shared Voting Power
971,750

7. Sole Dispositive Power
0

8. Shared Dispositive Power
976,950

9. Aggregate Amount Beneficially Owned by Each Reporting Person
6.2%

10. Check if the Aggregate Amount in Row (9) Excludes Certain
Shares (See Instructions)
N/A

11. Percent of Class Represented by Amount in Row (9)
6.2%

12. Type of Reporting Person (See Instructions)
IA

Cusip Number
143905107

1. Name of Reporting Persons.
I.R.S. identification Nos. of above persons (entities only)
Richard S. Strong

2. Check the Appropriate Box if a Member of a Group (See Instructions)
(a)
(b)

3. SEC Use Only

4. Citizenship or Place of Organization
U.S.A.

Number of shares beneficially owned by each reporting person with:

5. Sole Voting Power
0

6. Shared Voting Power
971,750

7. Sole Dispositive Power
0

8. Shared Dispositive Power
976,950

9. Aggregate Amount Beneficially Owned by Each Reporting Person
6.2%

10. Check if the Aggregate Amount in Row (9) Excludes Certain
Shares (See Instructions)
N/A

11. Percent of Class Represented by Amount in Row (9)

Edgar Filing: CARRIAGE SERVICES INC - Form SC 13G

6.2%

12. Type of Reporting Person (See Instructions) IN

Item 1(a). The name of the issuer is:
Carriage Services, Inc.

Item 1(b). The principal executive office of the issuer is:
1300 Post Oak Blvd, Suite 1500, Houston, Texas 77056

Item 2(a). The names of the persons filing this statement are:
Strong Capital Management, Inc. and Richard S. Strong

Item 2(b). The Principal business office of Strong Capital Management, Inc.
and Richard S. Strong is:
100 Heritage Reserve, Menomonee Falls, WI 53051

Item 2(c). See Item 4 of the cover sheet for each Filer.

Item 2(d). This Statement relates to shares of the common stock of the
Issuer(par value \$0.01) (the "Stock")

Item 2(e). The CUSIP number of the Stock is: 143905107

Item 3. If this statement is filed pursuant to ss.ss.240.13d-1(b) or
240.13d-2(b) or (c), check whether the person filing is a:

- (a). ☐ Broker or dealer registered under section 15 of the Act
(15 U.S.C. 78o).
- (b). ☐ Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c). ☐ Insurance company as defined in section 3(a)(19) of the Act
(15 U.S.C. 78c)
- (d). ☐ Investment company registered under section 8 of the Investment
Company Act of 1940 (15 U.S.C. 80a-8).
- (e). ☒ An investment adviser in accordance with ss.240.13d-1(b)(1)(ii)(E).
- (f). ☐ An employee benefit plan or endowment fund in accordance with
ss.240.13d-1(b)(1)(ii)(G).
- (g). ☒ A parent holding company or control person in accordance with
ss.240.13d-1(b)(1)(ii)(G).
- (h). ☐ A savings associations as defined in section 3(b) of the Federal
Deposit Insurance Act (12 U.S.C. 1813).
- (I). ☐ A church plan that is excluded from the definition of an investment
company under section 3(c)(14) of the Investment Company Act of
1940 (15 U.S.C. 80a-3).
- (j). ☐ Group, in accordance with ss.240.13d-1(b)(1)(ii)(J).

Item 4. Ownership*

See Items 5-9 and 11 on the cover page for each filer.

Item 5. Ownership of five percent or less of a Class

Edgar Filing: CARRIAGE SERVICES INC - Form SC 13G

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [].

Item 6. Ownership of More than five percent on behalf of another person. Strong Capital Management, Inc. is a registered investment advisor whose clients, have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale, of the Stock. Richard S. Strong is the Chairman of the Board of Strong Capital Management, Inc. No Individual client's holdings of the Stock are more than five percent of the outstanding stock.

Item 7. Identification and Classification of the Subsidiary which acquired the security being reported on by the parent holding company:
Not Applicable

Item 8. Identification and Classification of Members of the Group:
Not Applicable

Item 9. Notice of Dissolution of Group:
Not Applicable

Item 10. Certification
By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

Exhibit A

JOINT FILING AGREEMENT

In accordance with Rule 13d-1(k)(1) under the Securities Exchange Act of 1934 (the "Act"), as amended, the undersigned hereby agree to this joint filing on Schedule 13G (including amendments thereto). Constance R. Wick, serves as authorized representative on behalf of Strong Capital Management, Inc., and with respect to the Schedules 13G filed by Richard S. Strong with Strong Capital Management, Inc. pursuant to Rule 13d-1(b)(i)(G) under the Act, serves as authorized representative to Richard S. Strong, with power of attorney.

IN WITNESS WHEREOF, the undersigned hereby execute this Agreement this 13th day of February 2002.

STRONG CAPITAL MANAGEMENT, INC.

By: /s/ Constance R. Wick
Constance R. Wick
Assistant Executive Vice President
Strong Capital Management, Inc.

RICHARD S. STRONG

By: /s/ Constance R. Wick
Constance R. Wick
Authorized Representative
Pursuant to Power of Attorney*

Edgar Filing: CARRIAGE SERVICES INC - Form SC 13G

*Filed as Exhibit B to Schedule 13G
filed by the Reporting Persons on
February 13, 2002, with respect to
the common stock of Advanced
Neuromodulation Systems, Inc., and
incorporated herein by reference.

SIGNATURE

After reasonable inquiry and to the best of our knowledge and belief, the
undersigned certify that the information set forth in this statement is true,
complete and correct.

Dated: February 13, 2002

Strong Capital Management, Inc.

By: /s/ Constance R. Wick
Constance R. Wick
Assistant Executive Vice President

Richard S. Strong

By: /s/ Constance R. Wick
Constance R. Wick
Authorized Representative
Pursuant to Power of Attorney*

*Filed as Exhibit B to Schedule 13G filed by the Reporting Persons on February
13, 2002, with respect to common stock of Advanced Neuromodulation Systems,
Inc., and incorporated herein by reference.