Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 5

COMMUNITY TRUST BANCORP INC /KY/

Form 5 January 14, 2016 **OMB APPROVAL** FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Jones David Andrew Symbol COMMUNITY TRUST BANCORP (Check all applicable) INC /KY/ [CTBI] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner _X__ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2015 **Executive Vice President 1544 WINCHESTER AVENUE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) ASHLAND, KYÂ 41101

X Form Filed by One Reporting Person ____ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Ta	ble I - Non-Do	erivative Secu	irities	Acquired	l, Disposed of, or	r Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities or Disposed (Instr. 3, 4 and Amount	of (D)		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/02/2015	Â	J <u>(1)</u>	4.3947	А	\$ 35.62	2,997.1785	D	Â
Common Stock	04/01/2015	Â	J <u>(1)</u>	5.8444	А	\$ 33.22	3,003.0229	D	Â
Common Stock	07/01/2015	Â	J <u>(1)</u>	5.6022	А	\$ 34.97	3,008.6251	D	Â
Common Stock	10/01/2015	Â	J <u>(1)</u>	5.8384	А	\$ 34.97	3,014.4635	D	Â
	12/31/2015	Â	J <u>(2)</u>		А	\$ 0 <u>(2)</u>	7,126.1735	Ι	By ESOP

Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 5

Common Stock				567.5503 (2)					
Common Stock	12/31/2015	Â	J <u>(3)</u>	136.9138 (3)	А	\$ 0 <u>(3)</u>	1,939.5262	Ι	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 2270 (9-02)

> 8 E S ()

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (4)	\$ 27.109 (5)	Â	Â	Â	Â	Â	01/27/2010	01/27/2019	Common Stock	68.75 (5)
$\underbrace{Option}_{(4)}$	\$ 27.109 (5)	Â	Â	Â	Â	Â	01/27/2011	01/27/2019	Common Stock	68.75 (5)
$\underbrace{Option}_{(4)}$	\$ 27.109 (5)	Â	Â	Â	Â	Â	01/27/2012	01/27/2019	Common Stock	68.75 (5)
$\underbrace{Option}_{(4)}$	\$ 27.109 (5)	Â	Â	Â	Â	Â	01/27/2013	01/27/2019	Common Stock	68.75 (5)
$\underbrace{Option}_{(4)}$	\$ 22.809 (<u>6)</u>	Â	Â	Â	Â	Â	01/26/2011	01/26/2020	Common Stock	103.25 (<u>6)</u>
Option (4)	\$ 22.809 (6)	Â	Â	Â	Â	Â	01/26/2012	01/26/2020	Common Stock	103.25 (6)
Option (4)	\$ 22.809 (6)	Â	Â	Â	Â	Â	01/26/2013	01/26/2020	Common Stock	103.25 (6)
$\underbrace{Option}_{(4)}$	\$ 22.809 (<u>6)</u>	Â	Â	Â	Â	Â	01/26/2014	01/26/2020	Common Stock	103.25 (6)
Option (7)	\$ 32.27	Â	Â	Â	Â	Â	01/27/2020	01/27/2025	Common Stock	10,000

Reporting Owners

Relationships								
Director	10% Owner	Officer	Othe					
Â	Â	Executive Vice President	Â					
David Andrew Jones By: Marilyn T. Justice, Attorney-in-Fact								
	Â	ÂÂ	Director 10% Owner Officer Êxecutive Vice President					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. Employee Stock Ownership Plan at a price
 (2) range of \$33.22-\$35.62 per share in transactions that were exempt from Section 16(b) by virtue of old rule 16a-8(b). The information reported herein is based on plan statement dated December 31, 2015.
- These shares were acquired during the fiscal year under the Community Trust Bancorp, Inc. 401(k) Plan at a price range of \$33.22-\$35.62
 (3) per share in transactions that were exempt by virtue of old rule 16a-8(b) and new Rule 16b-3(d) (2). The information reported herein is based on plan statement dated December 31, 2015.
- (4) Right to buy pursuant to Incentive Stock Option Agreement (CTBI 2006 Stock Option Plan).
- (5) Option previously reported as covering 62.50 shares @\$29.82 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (6) Option previously reported as covering 93.75 shares @\$25.09 per share, adjusted to reflect the 10% stock dividend effective 06/02/14.
- (7) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.