

Edgar Filing: TRIARC COMPANIES INC - Form 5

TRIARC COMPANIES INC

Form 5

February 13, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

() Form 3 Holdings Reported

() Form 4 Transactions Reported

1. Name and Address of Reporting Person
 Schwab II, David E.

68 Cedar Drive East
 Briarcliff Manor,, NY 10510
 USA

2. Issuer Name and Ticker or Trading Symbol
 Triarc Companies, Inc.
 TRY

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year
 December 30, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director () 10% Owner () Officer (give title below) () Other
 (specify below)

7. Individual or Joint/Group Reporting (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Year A/ D Price |
|----------------------|---------------------|---------|---|---|
| Class A Common Stock | 1/1/01 | J(1) | 298 | (A) \$25.12 (2) |
| Class A Common Stock | 4/2/01 | J(1) | 296 | (A) \$23.04 (2) |
| Class A Common Stock | 7/2/01 | J(1) | 296 | (A) \$25.31 (2) |
| Class A Common Stock | 10/1/01 | J(1) | 316 | (A) \$23.69 (2) 7,756 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exer | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired | 6. Date Exercisable and Expiration | 7. Title and Amount of Underlying Securities | 8. Percentage of Total |
|---------------------------------|-----------------------|---------------------|---------|---|------------------------------------|--|------------------------|
|---------------------------------|-----------------------|---------------------|---------|---|------------------------------------|--|------------------------|

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| Exercise Price of Derivative Security | Exercise or Proposed Date (Month/Day/Year) | Class (A or D) | Quantity | Exercise Price | Expiration Date | Title and Number of Shares |
|--|--|----------------|----------|----------------|-----------------|----------------------------|
| Employee Stock Options (right to buy) with tandem tax withholding rights | 6/21/01 | (A) | 4,000 | \$26.00 | 6/21/11 | Class A Common Stock 4,000 |

Explanation of Responses:

- (1) Shares were issued pursuant to the Company's 1998 Equity Participation Plan in lieu of annual retainer fees that would otherwise be payable in cash.
- (2) In accordance with the Company's Equity Participation Plan, the price is the average of the closing price per share on the 20 consecutive trading days immediately preceding the date on which the annual retainer fee would otherwise be payable.
- (3) The option vests in two equal installments on June 21, 2002 and 2003.

SIGNATURE OF REPORTING PERSON
 DAVID E. SCHWAB II
 DATE
 February 12, 2002