NetApp, Inc. Form 4 September 15, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * **Braham Tor**

(Last)

(First)

(Middle)

15941 OVERLOOK DRIVE

(Street)

(State)

2. Issuer Name and Ticker or Trading

Symbol

NetApp, Inc. [NTAP] 3. Date of Earliest Transaction

(Month/Day/Year) 09/11/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

X_ Director 10% Owner Officer (give title Other (specify

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Ownership

Form: Direct

(Instr. 4)

(D) or Indirect Beneficial

Person

5. Amount of

Securities

Following

Owned

Beneficially

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City)

LOS GATOS, CA 95030

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

or

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

7. Nature of

Ownership

(Instr. 4)

Indirect

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

5. Number 4. Transaction of Derivative Expiration Date Code Securities (Instr. 8) Acquired

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of 8. Pr **Underlying Securities** (Instr. 3 and 4)

Deri Secu (Inst

Edgar Filing: NetApp, Inc. - Form 4

Derivative (A) or Security Disposed of (D) (Instr. 3, 4, and 5) Code V (A) (D) Date Expiration Title Amount Exercisable Date orNumber of Shares Restricted Common $\$ 0 \frac{(1)}{1}$ (2) (2) 09/11/2015 7.916 7,916 Α Stock Stock Unit

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Braham Tor
15941 OVERLOOK DRIVE X
LOS GATOS, CA 95030

Signatures

By: Roberta S. Cohen Attorney-in-Fact For: Tor
Braham
09/15/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- On September 11, 2015, the reporting person was granted 7,916 restricted stock units ("RSUs") which vest on the day immediately preceding the date of the next Annual Stockholders Meeting of the Company following the Grant Date, subject to continuous service on the Board through such date. The payout of such RSUs has been deferred and until within 30 days of the earliest of: (a) January 1, 2017, (b) the date his service on the Board ceases for any reason or (c) the date on which a Change of Control of the Company occurs.
- Includes 5,712 shares which due to an administrative error were incorrectly shown as received in September 2014. On September 13, (3) 2013, Mr. Braham elected to defer the payout of such shares until within 30 days of the earlier of the date:(a) his service on the Board ceases for any reason or (b) on which a Change of Control occurs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2