

INDEPENDENT BANK CORP
Form 3
September 12, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Cozzone Robert D		(Month/Day/Year)	INDEPENDENT BANK CORP [INDB]	
(Last)	(First)	(Middle)	09/05/2013	
288 UNION STREET			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
ROCKLAND,Â MAÂ 02370			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			CFO and Treasurer	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	12,269.7205	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

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				Shares		(I) (Instr. 5)	
NQ - Stock Option (Right to Buy)	02/15/2007 ⁽¹⁾	02/15/2017	Common Stock	4,000	\$ 32.995	D	Â
NQ - Stock Option (Right to Buy)	12/15/2005 ⁽²⁾	12/09/2014	Common Stock	3,333	\$ 34.18	D	Â
NQ - Stock Option (Right to Buy)	06/09/2005 ⁽²⁾	12/09/2014	Common Stock	682	\$ 34.18	D	Â
NQ - Stock Option (Right to Buy)	02/14/2009 ⁽³⁾	02/14/2018	Common Stock	4,500	\$ 28.27	D	Â
NQ - Stock Option (Right to Buy)	01/02/2012 ⁽⁴⁾	02/10/2021	Common Stock	2,500	\$ 27.575	D	Â
ISO - Stock Option (Right to Buy)	06/09/2005 ⁽²⁾	12/09/2014	Common Stock	985	\$ 34.18	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cozzone Robert D 288 UNION STREET ROCKLAND, MA 02370	Â	Â	Â CFO and Treasurer	Â

Signatures

Linda M. Campion, Power of Attorney
09/12/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Granted under the Independent Bank Corp. 2005 Employee Stock Option Plan (2005 Plan). Options vest in equal installments of 800 shares over a five-year period beginning on 2/15/08 and thereafter on each successive anniversary date (2/15/09-2/15/12), subject to the earlier termination of employment or acceleration of vesting schedule under certain termination of employee circumstances.
- (1) Granted under the Independent Bank Corp. 1997 Employee Stock Option Plan (1997 Plan). The Option immediately vests. Subject to the earlier termination of employment or acceleration of vesting schedule under certain termination of employee circumstances.
- (2) Granted under the Independent Bank Corp. 2005 Employee Stock Option Plan (2005 Plan). Options vest in equal installments of 1,200 shares over a five-year period beginning on 2/14/09 and thereafter on each successive anniversary date (2/14/10-2/14/13), subject to the earlier termination of employment or acceleration of vesting schedule under certain termination of employee circumstances.
- (3) Granted under the Independent Bank Corp. 2005 Employee Stock Option Plan (2005 Plan). 834 shares shall first become exercisable on 1/2/12; 833 shares shall first become on 1/02/13, and the remaining 833 shares shall first become exercisable on 1/2/14, subject to the earlier termination of employment or acceleration of vesting schedule under certain termination of employee circumstances.
- (4)

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Remarks:

Note: Also see attached Exhibit EX-24 Attachment 0.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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