

FUNKE JAMES H
Form 4
February 26, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FUNKE JAMES H

2. Issuer Name and Ticker or Trading Symbol
TETRA TECHNOLOGIES INC
[TTI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
24955 INTERSTATE 45 N
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/22/2018

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
VP

THE WOODLANDS, TX 77380

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 02/22/2018 | | A | | 36,746 | A | \$ 0 (1) |
| Common Stock | 02/22/2018 | | F | | 1,973 | D | \$ 3.87 (2) |
| Common Stock | | | | | | I | 13,910 |
| Common Stock | | | | | | I | 39,812 |
| | | | | | | | by 401(k) Plan (3) |
| | | | | | | | by Funke Family Living Trust (4) |

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- (4) These shares are held in a trust for the benefit of the reporting person and the reporting person's spouse and children. The reporting person and his spouse are co-trustees of the trust..

This stock option award was granted pursuant to the TETRA Technologies, Inc. Third Amended and Restated 2011 Long Term Incentive

- (5) Compensation Plan. One-third of the award will vest on February 22, 2019, and the remaining stock options will vest in monthly installments thereafter until February 22, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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