

INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 16, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
IWATA JON C

2. Issuer Name **and** Ticker or Trading
Symbol
INTERNATIONAL BUSINESS
MACHINES CORP [IBM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
IBM CORPORATION, ONE NEW
ORCHARD ROAD

3. Date of Earliest Transaction
(Month/Day/Year)
11/15/2016

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)
Senior Vice President

(Street)
ARMONK, NY 10504

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/15/2016		M		7,866	A	\$ 102.8	54,719.2077	D
Common Stock	11/15/2016		S		500	D	\$ 158.54	54,219.2077	D
Common Stock	11/15/2016		S		850	D	\$ 158.55	53,369.2077	D
Common Stock	11/15/2016		S		2,280	D	\$ 158.56	51,089.2077	D
Common Stock	11/15/2016		S		510	D	\$ 158.57	50,579.2077	D

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Common Stock	11/15/2016	S	601	D	\$ 158.58	49,978.2077	D
Common Stock	11/15/2016	S	600	D	\$ 158.59	49,378.2077	D
Common Stock	11/15/2016	S	380	D	\$ 158.6	48,998.2077	D
Common Stock	11/15/2016	S	6,012	D	\$ 158.61	42,986.2077	D
Common Stock	11/15/2016	S	100	D	\$ 158.62	42,886.2077	D
Common Stock	11/15/2016	S	110	D	\$ 158.63	42,776.2077	D
Common Stock	11/15/2016	S	887	D	\$ 158.64	41,889.2077	D
Common Stock	11/15/2016	S	1,360	D	\$ 158.65	40,529.2077	D
Common Stock	11/15/2016	S	308	D	\$ 158.66	40,221.2077	D
Common Stock	11/15/2016	S	358	D	\$ 158.67	39,863.2077	D
Common Stock	11/15/2016	S	563	D	\$ 158.68	39,300.2077	D
Common Stock	11/15/2016	S	200	D	\$ 158.69	39,100.2077	D
Common Stock	11/15/2016	S	737	D	\$ 158.7	38,363.2077	D
Common Stock	11/15/2016	S	418	D	\$ 158.71	37,945.2077	D
Common Stock	11/15/2016	S	400	D	\$ 158.72	37,545.2077	D
Common Stock	11/15/2016	S	198	D	\$ 158.73	37,347.2077	D
Common Stock	11/15/2016	S	500	D	\$ 158.74	36,847.2077	D
Common Stock	11/15/2016	S	300	D	\$ 158.75	36,547.2077	D
Common Stock	11/15/2016	S	1,594	D	\$ 158.76	34,953.2077	D
Common Stock	11/15/2016	S	100	D	\$ 158.77	34,853.2077	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code V (A) (D)		Date Exercisable Expiration Date	Title Amount or Number of Shares
Emp. Stock Option (right to buy)	\$ 102.8	11/15/2016		M	7,866	05/08/2010 ⁽¹⁾ 05/07/2017	Common Stock 7,866

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
IWATA JON C IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504			Senior Vice President	

Signatures

A. Gomes da Silva on behalf of J. C.
Iwata 11/16/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This grant vested in one installment on the date shown above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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