Shabshab Nabil Form 4 November 28, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Shabshab Nabil

2. Issuer Name and Ticker or Trading

Symbol

**BECTON DICKINSON & CO** [BDX]

Director X\_ Officer (give title

Issuer

below)

10% Owner Other (specify

C/O BECTON, DICKINSON AND

(Middle)

11/25/2017

(Month/Day/Year)

**Executive Vice President** 

(Check all applicable)

5. Relationship of Reporting Person(s) to

COMPANY, 1 BECTON DRIVE (Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FRANKLIN LAKES, NJ 07417

| (City) | (State) | (Zip) | Table l | of, or Be | r Beneficially Owned |  |  |  |  |  |
|--------|---------|-------|---------|-----------|----------------------|--|--|--|--|--|
|        |         |       |         |           |                      |  |  |  |  |  |

| 1.Title of Security | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if |                  | 3.<br>Transactio | 4. Securities onAcquired (A) or |     |       | 5. Amount of Securities                  | 6. Ownership Form: Direct  | 7. Nature of Indirect |
|---------------------|--|------------------|------------------|---------------------------------|-----|-------|--|----------------------------|-----------------------|
| (Instr. 3)          | (=====================================                             | any              |                  | Disposed of (D)                 |     |       | Beneficially                             | (D) or                     | Beneficial            |
|                     |  | (Month/Day/Year) |                  | Instr. 8) (Instr. 3, 4 and 5)   |     |       | Owned<br>Following                       | Indirect (I)<br>(Instr. 4) | Ownership (Instr. 4)  |
|                     |  |                  |                  | (A)<br>or                       |     |       | Reported Transaction(s) (Instr. 3 and 4) |                            |                       |
|                     |  |                  | Code V           | Amount                          | (D) | Price | (Ilisti: 3 alid 4)                       |                            |                       |
| Common<br>Stock     | 11/25/2017   |                  | A                | 3,205<br>(1)                    | A   | \$ 0  | 9,044                                    | D                          |                       |
| Common<br>Stock     | 11/25/2017   |                  | F                | 2,782<br>(2)                    | D   | \$0   | 6,262                                    | D                          |                       |
| Common<br>Stock     | 11/26/2017   |                  | A                | 1,549<br>(3)                    | A   | \$0   | 7,811                                    | D                          |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

#### Edgar Filing: Shabshab Nabil - Form 4

## displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) Instr. 3, 4, |                    | 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |                      |
|---|---|---|---|---------------------------------------|---|---|--------------------|---|----------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date Exercisable  | Expiration<br>Date | Title   | Ar<br>or<br>Nu<br>of |
| Stock<br>Appreciation<br>Rights                     | \$ 226.28   | 11/26/2017                              |   | A                                     | 14,851  | 11/26/2018(4)   | 11/26/2027         | Common<br>Stock   | 14                   |

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

**Executive Vice President** 

Shabshab Nabil

C/O BECTON, DICKINSON AND COMPANY

1 BECTON DRIVE

FRANKLIN LAKES, NJ 07417

**Signatures** 

Richard Stout, by power of attorney for Nabil
Shabshab
11/28/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares vesting under performance units granted on November 25, 2014.
- (2) Represents shares withheld for payment of withholding taxes in connection with (A) vesting of the performance units referred to in footnote (1) and (B) the vesting of restricted stock units as previously reported on Table I.
- (3) Represents restricted stock units awarded under the Becton, Dickinson and Company 2004 Employee and Director Equity-Based Compensation Plan.
- (4) The stock appreciation rights vest in four annual installments beginning November 26, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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