#### SKINNER JAMES E

Form 4 April 25, 2019

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

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Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SKINNER JAMES E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Ares Commercial Real Estate Corp

(Check all applicable)

[ACRE]

04/23/2019

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

X Director 10% Owner Other (specify Officer (give title

C/O ARES COMMERCIAL REAL ESTATE CORP., 245 PARK **AVENUE, 42ND FLOOR** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10167

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Disposed of (D) Code (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

(1)

Reported Transaction(s) (Instr. 3 and 4)

Common Stock

04/23/2019

(D) Price Code V Amount \$0 3,933

30,706

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SKINNER JAMES E - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                                   | 6. Date Exerc | cisable and                 | 7. Title  | and         | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|--------------------------------------|---------------|-----------------------------|-----------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                                      | Expiration D  | ate                         | Amount of |             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                                   | (Month/Day/   | Year)                       | Underly   | ing         | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative<br>Securities<br>Acquired |               | Securities (Instr. 3 and 4) |           | (Instr. 5)  | Bene        |        |
|             | Derivative  |                     |                    |                   |                                      |               |                             |           |             | Own         |        |
|             | Security    |                     |                    |                   |                                      |               |                             |           |             | Follo       |        |
|             |             |                     |                    |                   | (A) or                               |               |                             |           |             |             | Repo   |
|             |             |                     |                    |                   | Disposed                             |               |                             |           |             |             | Trans  |
|             |             |                     |                    |                   | of (D)                               |               |                             |           |             |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,                           |               |                             |           |             |             |        |
|             |             |                     |                    |                   | 4, and 5)                            |               |                             |           |             |             |        |
|             |             |                     |                    |                   |                                      |               |                             | Δ         | mount       |             |        |
|             |             |                     |                    |                   |                                      |               |                             | 0         |             |             |        |
|             |             |                     |                    |                   |                                      | Date          | Expiration                  |           | l<br>Jumber |             |        |
|             |             |                     |                    |                   |                                      | Exercisable   | Date                        | 0         |             |             |        |
|             |             |                     |                    | Code V            | (A) (D)                              |               |                             |           | hares       |             |        |

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

SKINNER JAMES E C/O ARES COMMERCIAL REAL ESTATE CORP. 245 PARK AVENUE, 42ND FLOOR NEW YORK, NY 10167



## **Signatures**

/s/ Anton Feingold, by power of attorney

04/25/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents a grant of restricted stock pursuant to the Issuer's Amended and Restated 2012 Equity Incentive Plan. The shares of restricted (1) stock are scheduled to vest ratably on a quarterly basis over a one-year period on the first business day of each fiscal quarter beginning

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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