

COLUMBUS MCKINNON CORP
Form 4
November 27, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Woon Eric

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
11/26/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Vice President - APAC

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or (D) Price | | |
| Common Stock | | | | | 9,515 ⁽¹⁾ | D | |
| Common Stock | 11/26/2013 | | M | | \$ 18.24 9,618 ⁽¹⁾ | D | |
| Common Stock | 11/26/2013 | | M | | \$ 19.5 10,201 ⁽¹⁾ | D | |
| Common Stock | 11/26/2013 | | M | | \$ 13.43 10,890 ⁽¹⁾ | D | |
| Common Stock | 11/26/2013 | | S | | \$ 27.16 9,515 ⁽¹⁾ | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Non-Qualified Stock Options (Right to Buy) | \$ 14.8 | | | | | 01/25/2013 01/24/2020 | Common Stock | 8,333 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | 11/26/2013 | | M | 103 | 05/17/2011 05/16/2020 | Common Stock | 103 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | 11/26/2013 | | M | 583 | 05/23/2012 05/22/2021 | Common Stock | 583 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43 | 11/26/2013 | | M | 689 | 05/21/2013 05/20/2022 | Common Stock | 689 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95 | | | | | 05/20/2014 05/20/2023 | Common Stock | 4,970 ⁽⁶⁾ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Woon Eric 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 | | | Vice President - APAC | |

Signatures

Eric Woon

11/27/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 8,139 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 227 shares become fully vested and non-forfeitable on 5/17/2014, 618 shares become fully vested and non-forfeitable 50% per year for two years beginning

(1) 5/23/2014, 2,064 units become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 2,356 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,874 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.

Originally granted 10,000 options. The reporting person exercised 959 options on 11/19/2013. 1,666 options are exercisable, subject to IRS limitations, and the remaining 6,667 options become exercisable 50% per year for two years beginning 1/25/2014, if reporting person remain an employee of issuer.

(3) Originally granted 1,724 options. The reporting person exercised 103 options on 11/26/2013. 647 options are exercisable, subject to IRS limitations, and the remaining 431 options become exercisable 5/17/2014, if reporting person remains an employee of issuer.

Originally granted 2,334 options. The reporting person exercised 583 options on 11/26/2013. 583 options are exercisable, subject to IRS limitations, and the remaining 1,168 options become exercisable 50% per year for two years beginning 5/23/2014, if reporting person remains an employee of issuer.

(5) Originally granted 5,517 options. The reporting person exercised 689 options on 11/26/2013. 690 options are exercisable, subject to IRS limitations, and the remaining 4,138 options become exercisable 33.33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.

(6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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