Edgar Filing: Baylor-Henry Minnie - Form 4

Baylor-Henry M Form 4	innie									
December 12, 20)18									
FORM 4	1	STATES					IGE CO	MMISSIO	N OMB	NPPROVAL 3235-0287
Check this bo	X		Wa	ashington	, D.C. 20	549			Number:	January 31
if no longer subject to Section 16. Form 4 or							Estimated burden hol response	2005 ed average nours per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Respo	onses)									
1. Name and Address of Reporting Person <u>*</u> Baylor-Henry Minnie			2. Issuer Name and Ticker or Trading Symbol POLARITYTE, INC. [PTE]			,	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction			(Ch	eck all applicabl	e)		
			(Month/Day/Year) 12/10/2018			-	X_ Director 10% Owner Officer (give title Other (specify below) below)			
Filed(M				iled(Month/Day/Year) Ap _X			. Individual or Joint/Group Filing(Check Applicable Line) X_Form filed by One Reporting Person Form filed by More than One Reporting			
Person Person										
(City)	(State)	(Zip)					_		of, or Beneficia	-
	ransaction Date nth/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D)	Sec Ben Ow Foll Rep Tra	amount of urities eficially ned owing orted nsaction(s) tr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	Amount	(D) Pr	rice	u. 5 und 1)		
Reminder: Report o	n a separate line	for each cl	ass of sec	urities benef	Perso inforn requir	ns who nation c red to re iys a cu	o respon containe espond	lirectly. d to the colle d in this forr unless the fo valid OMB co	n are not orm	SEC 1474 (9-02)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5)		/Year)	(Instr. 3 and	4)
				Code V	(A) (I	0) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	12/10/2018		А	8,975	(1)	(1)	Common Stock	8,975
Employee Stock Option (Right to Buy)	\$ 13.65	12/10/2018		A	19,329	(2)	12/09/2028	Common Stock	19,329

Reporting Owners

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Baylor-Henry Minnie						
C/O SCPHARMACUETICALS, INC.	Х					
2400 DISTRICT AVENUE SUITE 310 BURLINGTON, MA 01803						

Signatures

/s/ Minnie	
Baylor-Henry	12/11/2018
**Signature of Reporting	Date
Person	

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock. The restricted stock units vest at the rate of 2,992 shares on December 10, 2019 and 2020, and 2,991 shares on December 10, 2021.
- (2) The option vests in 3 equal annual installments beginning on December 10, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.