Mitgang Steve Form 4 June 12, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or

Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Mitgang Steve

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

NTN BUZZTIME INC [NTN]

(Last) (First) (Middle)

3. Date of Earliest Transaction

\_\_X\_\_ Director \_\_\_\_\_\_ 10% Owner \_\_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify below)

2231 RUTHERFORD ROAD, SUITE 200

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

06/08/2018

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

CARLSBAD, CA 92008

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

Security

or Exercise

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any

(Month/Day/Year)

3. 4. Securities
TransactionAcquired (A) or
Code Disposed of (D)
(Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. O
Securities Forr
Beneficially (D)
Owned (I)
Following (Inst

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

 $\begin{array}{cccc} & & & & & & Reported \\ & & & & & Transaction(s) \\ & & & or & & (Instr. \ 3 \ and \ 4) \\ \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

any

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 4. Derivative Conversion (Month/Day/Year) Execution Date, if Tran

4. 5. Number 6. Date Exercisable and Transaction Expiration Date
Code Derivative (Month/Day/Year)

7. Title and Amount of 8 Underlying Securities I (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security	(Month/Da	y/Year) (In	nstr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
			Co	ode V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 4.3	06/08/2018	1	A	400		07/08/2018(1)	06/07/2028	Common Stock	400

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Mitgang Steve
2231 RUTHERFORD ROAD, SUITE 200 X

CARLSBAD, CA 92008

### **Signatures**

/s/ Allen Wolff by Power of Attorney 06/12/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option granted under the NTN Buzztime, Inc. Amended 2010 Performance Incentive Plan. The options vest and become exercisable in 12 equal monthly installments from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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