

NASSER JOSEPH G

Form 4

January 25, 2012

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
NASSER JOSEPH G

(Last) (First) (Middle)

52 SOUTH BROAD STREET

(Street)

NORWICH, NY 13815

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
NBT BANCORP INC [NBTB]

3. Date of Earliest Transaction
(Month/Day/Year)
01/23/2012

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
NBT Bancorp Inc. Common Stock	01/23/2012		M	630 A	\$ 23.2708 28,599	D	
NBT Bancorp Inc. Common Stock	01/23/2012		S	630 D	\$ 24.1 27,969	D	
NBT Bancorp	01/23/2012		M	52 A	\$ 20.7492 28,021	D	

Inc.
Common
Stock

NBT
Bancorp
Inc.
Common
Stock

01/23/2012

S

52

D

\$ 24.1

27,969

D

NBT
Bancorp
Inc.
Common
Stock

26,511

I

Custodian
for Minor

NBT
Bancorp
Inc.
Common
Stock

431.732

I

by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 20.7492	01/23/2012		M	52	05/01/2006 ⁽¹⁾ 05/01/2015	NBT Bancorp Inc. Common Stock	5
Non-Qualified Stock Option	\$ 23.2708	01/23/2012		M	630	01/20/2006 ⁽¹⁾ 01/20/2015	NBT Bancorp	63

(right to buy)

Inc.
Common
StockNon-Qualified
Stock Option \$ 21.74
(right to buy)05/01/2007⁽¹⁾ 05/01/2016NBT
Bancorp
Inc.
Common
Stock

52

Non-Qualified
Stock Option \$ 22.4842
(right to buy)05/01/2008⁽¹⁾ 05/01/2017NBT
Bancorp
Inc.
Common
Stock

30

Non-Qualified
Stock Option \$ 22.5508
(right to buy)05/01/2009⁽¹⁾ 05/01/2018NBT
Bancorp
Inc.
Common
Stock

62

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
NASSER JOSEPH G 52 SOUTH BROAD STREET NORWICH, NY 13815	X

Signatures

By: F. Sheldon Prentice, Power of Attorney For: Joseph
Nasser

01/25/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to NBT Non-Employee Director, Divisional Director and Subsidiary Director Stock Option Plan grant vests 40% for first year, 20% annually for following years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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