### Edgar Filing: JACOB MATTHEW LOWRY - Form 3

#### JACOB MATTHEW LOWRY

Form 3 June 08, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FIRST TRUST HIGH INCOME LONG/SHORT FUND  **JACOB MATTHEW** (Month/Day/Year) [FSD] **LOWRY** 06/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MACKAY SHIELDS (Check all applicable) LLC. 1345 AVENUE OF THE AMERICAS, 43RD FL Director 10% Owner X\_ Other (Street) Officer 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Portfolio Mgr. Sub-Advisor \_X\_ Form filed by One Reporting NEW YORK, NYÂ 10105 Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5)  $0^{(1)}$ Â Common Shares D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security
2. Date Exercisable and Expiration Date Expiration Date (Month/Day/Year)
2. Date Exercisable and Expiration Date Securities Underlying Derivative Security
3. Title and Amount of Exercise Conversion Ownership Ownership Ownership Or Exercise Form of Conversion Ownership Owner

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(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Security Direct (D) Exercisable Date Number of or Indirect Shares (I) (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

JACOB MATTHEW LOWRY C/O MACKAY SHIELDS LLC 1345 AVENUE OF THE AMERICAS, 43RD FL NEW YORK, NYÂ 10105

Â Â Portf

Portfolio Mgr. Sub-Advisor

### **Signatures**

/s/ Matthew Jacob, by James M. Dykas, attorney-in-fact, pursuant to a Power of Attorney

06/08/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person does not own any of the Issuer's securities and is filing this report solely in Reporting Person's capacity as a member of the Investment Team of the Sub-Advisor of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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