Whaley Patricia M Form 4 November 19, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Whaley Patricia M Issuer Symbol Rexnord Corp [RXN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction

511 W. FRESHWATER WAY

(Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 11/16/2018 below) Vice Pres.-General Counsel

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

(Zip)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

D

6. Individual or Joint/Group Filing(Check

MILWAUKEE, WI 53204

(State)

(City)

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial Indirect (I) (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or

(Instr. 3 and 4) Amount (D) Price

Common 11/16/2018 Stock

G V 1,250 D (1) 56,542

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

# Edgar Filing: Whaley Patricia M - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.888					<u>(2)</u>	07/29/2020	Common Stock	8,325
Stock Option (right to buy)	\$ 22.03					(2)	05/11/2022	Common Stock	45,000
Stock Option (right to buy)	\$ 19					(2)	05/23/2023	Common Stock	25,000
Stock Option (right to buy)	\$ 29.31					(2)	09/03/2024	Common Stock	17,490
Stock Option (right to buy)	\$ 25.77					(2)	05/22/2025	Common Stock	21,255
Stock Option (right to buy)	\$ 19.7					05/20/2017(3)	05/20/2026	Common Stock	38,222
Stock Option (right to buy)	\$ 23.13					05/19/2018(3)	05/19/2027	Common Stock	25,618
Stock Option (right to buy)	\$ 28.91					05/25/2019 <u>(3)</u>	05/25/2028	Common Stock	10,022

8. De Se (Ir Edgar Filing: Whaley Patricia M - Form 4

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Whaley Patricia M 511 W. FRESHWATER WAY MILWAUKEE, WI 53204

Vice Pres.-General Counsel

#### **Signatures**

/s/ Jeffrey J. LaValle under Power of Attorney for Patricia M. Whaley

11/19/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Bona fide gift.
- (2) Option fully vested.
- (3) The original option vests in three annual installments beginning on the date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3